

Centralized solution for managing security terms and conditions

MAXIMIZING BUSINESS OPPORTUNITIES

- Complete global asset coverage for all listed and OTC security types
- Real-time communication with front-office trading systems
- Rules-based arbitration between market data vendors to create blended “Prime Copy” database
- Standardized adapters to industry leading market data providers (e.g., Bloomberg, Thomson Reuters, Markit) and third-party products (e.g., Portfolio Master, Advent Geneva and Axys, SunGard VPM and Front Arena, Markit WSO, Eze OMS, Charles River Investment Management System)
- Real-time corporate action alerts via messages, emails, and event-driven reporting
- Centralized operational view of all current and upcoming portfolio events (e.g., swap resets and payments, expirations, maturities, etc.)
- Complete legal entity structure to support issuer exposure, risk, and compliance requirements
- Centralized document management
- Data validation tools to track and monitor incomplete or inaccurate data
- Message-based posting infrastructure with complete web services API for seamless integration with accounting, trading, risk and reporting applications.

CENTRALIZED REFERENCE DATA MANAGEMENT

Broadridge’s Security Master is a centralized reference data solution for managing security terms and conditions, and corporate actions. Consolidates multiple sources of reference data into a single “Prime Copy” database for centralized operational control and distribution to downstream systems. The centralization of data significantly improves firm-wide data consistency and eliminates manual processes.

The same survey noted that 61% of the C-suite executives and direct reports surveyed from private markets firms said digital transformation is now their most important strategic initiative.

Terms and Conditions | Corporate Actions
Issuer Data | Classification | Counterparty

SECURITY MASTER

Data Normalization | Data Attribution | Consistence Management
Reference Data Prime Copy | Listed OTC Custom Asset Coverage

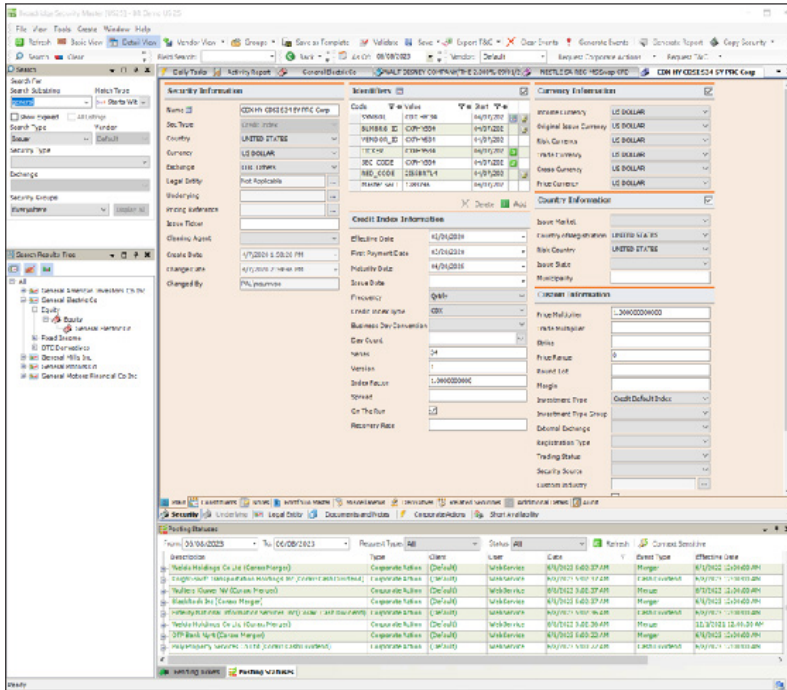
PMS | OMS | Accounting Systems
Risk Systems | Reporting

CENTRALIZED CORPORATE ACTION PROCESSING

Security Master monitors all corporate action and portfolio event activity, and provides realtime alerts and a centralized operational view for managing, processing, and distributing activity to downstream systems.

MANAGED DATA SERVICES

Provides a fully hosted market data solution which may be accessed remotely by clients as a replacement to any internal market data infrastructure. Clients may view and query the universe of security data remotely and post the selected security data into their local applications. Managed Data Services is a unique offering in the marketplace that significantly improves operational workflow, eliminates market data redundancy, and minimizes market data costs.



Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

[Broadridge.com](https://www.broadridge.com)

