

PROGRAM

Securities-Based Lending Forum

Hosted by Rockall – a Broadridge Business

Westin Stonebriar Hotel & Golf Club, TX

Wednesday, September 21st 2022

11.45 am - 1.00 pm	Golfers' Lunch	
2.00 pm	Tee off	
7.00 pm	Pre-dinner cocktails	
8.00 pm	Dinner Welcome After-dinner toast	Richard Bryce , President, Rockall Luke Nestor , Founder, Rockall

Thursday, September 22nd 2022

8.45 – 9.00 am	Welcome address Setting the scene for the Forum	
9.00 – 9.15 am	The world of wealth – an overview Wealth management is evolving to a more holistic advice model. What does this mean for wealth lending and what can we learn from the patterns of successful Wealth Managers?	Todd Sudak Head of Digital Lending Rockall
9.15 – 10.15 am	The Financial Advisor Mindset – Needs, Challenges and Opportunities Embracing digital wealth management means leveraging technology to enhance competitiveness and scale. At this session Will Trout will explore the challenges and opportunities facing wealth managers as they transition from legacy business & IT processes to models that fully embrace the experiences of their clients. A Financial Advisor-level view of the new challenges being faced by the front office will be outlined, together with some thoughts on how to get ahead of the pack.	Will Trout Director Wealth Management Javelin Strategy & Research

Thursday, September 22nd 2022

10.15 – 10.45 am	Coffee & networking	
10.45 – 11.15 am	PANEL: Powering growth in wealth lending – breaking down the barriers to achieving scale in SBL sales	Industry representatives
11.15 – 12.00 pm	SBL Perspectives – Round Table A fireside chat with industry leaders; this is an informal discussion session driven by the floor.	Industry representatives
12.00 – 1.00 pm	Lunch	
1.00 – 1.45 pm	There is something better than optimism... Acknowledged as an expert in resilience and overcoming adversity, Mark will share his incredible story of how to adapt and remain resilient in the face of ongoing uncertainty and change. As well as outlining his approach to tackling challenges, he will chart a mental model for how we can all turn challenges into opportunities. Mark is best known for his 2018 TED Talk focused on resolving the tension between acceptance and hope (go.ted.com/markandsimone) which gathered over 1.5 million views in its first 6 months online and has been translated into 12 languages. He has inspired millions of people in hundreds of organisations.	Mark Pollock Explorer & Collaboration Catalyst
1.45 – 2.30 pm	Strategic product roadmap A discussion of key functionality coming soon in Rockall's wealth lending technology	Todd Sudak Head of Digital Lending, Rockall and Tom Regnery SBL Product Manager, Rockall
2.30 – 2.45 pm	Forum Closing Remarks	Richard Bryce

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