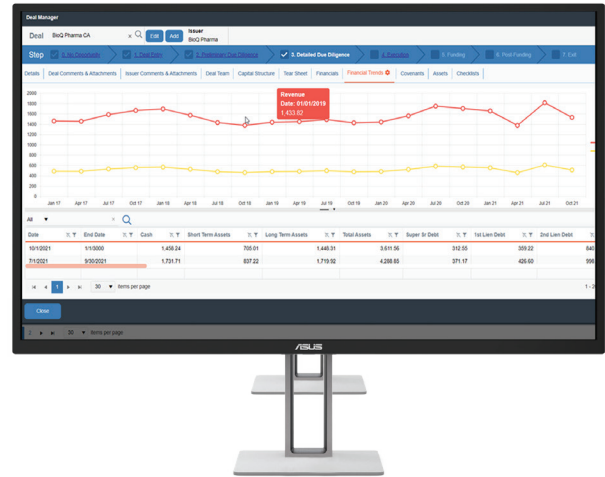


Streamline private debt and CLO research and decisions

- Manage your investment pipeline and approval workflows
- Centralize communication, collaboration and information exchange
- Analyze trends and comparable across companies and industries
- Keep and monitor financials for use in analysis and reporting



Track key statistics of a deal as it moves through the pipeline

MANAGE RESEARCH FROM IDEA TO EXECUTION

Broadridge Research Manager streamlines investment decision making processes; from idea to execution and stores all supporting analysis, numbers, documentation and rationales. Manage the distribution of internal research and commentary as well as research that isn't integrated with your current trading activity and exposures.

INVESTMENT DECISION WORKFLOW

- Manage investment approvals and set funding limits
- Link analysts to companies and deals
- Upload data from proprietary models
- Initiate trade orders or originate new loans right from the pipeline and manage the fills
- Look back at what deals were passed on along with the rationale and supporting data points

CREDIT ANALYSIS

- Configure customized dashboards by specifying the fields that are most relevant
- Commingle qualitative and quantitative data into a single view
- Analyze and compare company and industry trends
- Filter and sort on key metrics
- Monitor pipeline deals and current investments

FINANCIALS TRACKING

- Capture company financials and industry credit data
- Store unlimited time series data within the data warehouse
- Track pertinent ratios, capital structure, and sources and uses data
- Define custom columns and calculations
- Store actual and pro forma financials
- Customize multiple financial sets — i.e., different data sets per industry
- Financial data is seamlessly accessible to covenant monitoring

DATA MAINTENANCE

- Upload and organize metrics
- Import financial statements with minimal steps
- Leverage the diagnostic tools to identify missing and stale data

VIEW

- View data at Issuer, Deal, and Asset level
- Integrated with the standard data model to pull in maturity date, industry, marks, and more
- Create financial statements tailored to each company and industry

COMMENTARY

- Memorialize intra-company dialog and commentary — Rich Text Format compatible including:
 - Analyst credit commentary
 - Industry commentary
 - Investment rationale

DOCUMENT MANAGEMENT

- Centralize document management and upload attachments or link to network shares
- Attach documents, memoranda, financial statements that support the decision making process
- Associate documents to commentary

CRM

- Track contacts, tasks and events
- View in a calendar or list view

REPORTING

- Generate push button Tear Sheets
- Build your own reports using the Sentry Report Builder

TRIGGERS

- Set triggers to alert based on user defined logic

PROCESS MANAGEMENT

- Integrated fully into Sentry's middle and back office functions
- Audit system and user activities
- Field level permissions
- Automatically feeds data to Administrator

Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with over \$6 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than \$10 trillion of equities, fixed income and other securities globally. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 14,000 associates in 21 countries. For more information about us, please visit broadridge.com.

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