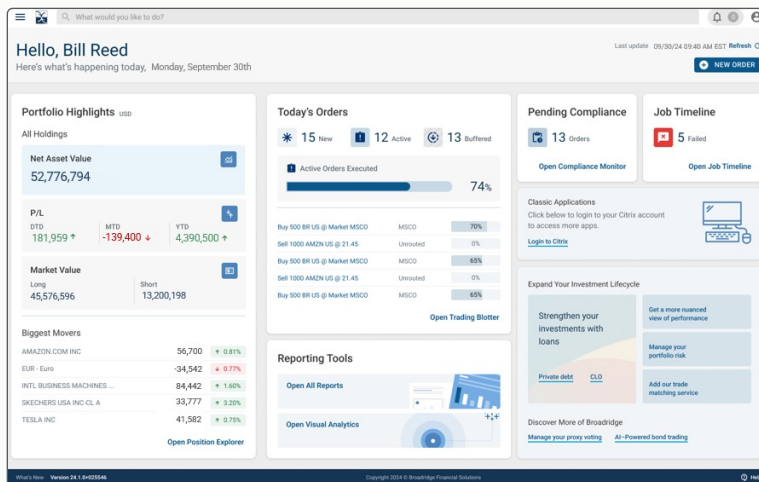
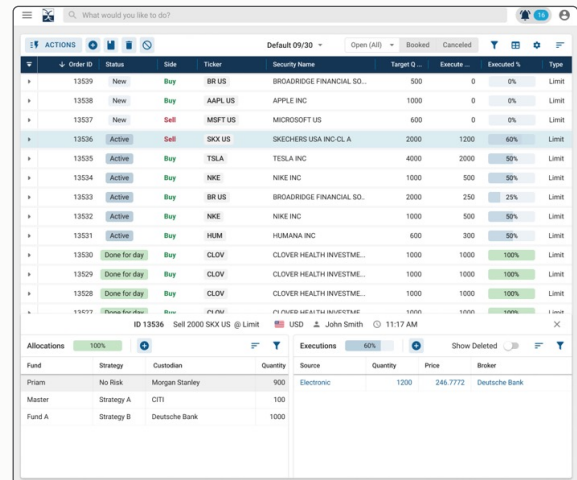


Order and portfolio management for the full investment lifecycle

Our solution enables your organization to generate alpha, reduce operational risks, streamline compliance, and meet evolving business needs.



Homepage: Review portfolio highlights, monitor daily orders, and access timely alerts.



Order ID	Status	Side	Ticker	Security Name	Target Q	Execute	Executed %	Type
13529	New	Buy	BR US	BROADRIDGE FINANCIAL SO...	500	0	0%	Limit
13538	New	Buy	AAPL US	APPLE INC	1000	0	0%	Limit
13537	New	Sell	MSFT US	MICROSOFT US	600	0	0%	Limit
13536	Active	Sell	SKX US	SKECHERS USA INC CL A	2000	1200	60%	Limit
13535	Active	Buy	TESLA	TESLA INC	4000	2000	50%	Limit
13534	Active	Buy	NKE	NIKE INC	1000	500	50%	Limit
13533	Active	Buy	BR US	BROADRIDGE FINANCIAL SO...	2000	250	25%	Limit
13532	Active	Buy	NKE	NIKE INC	1000	500	50%	Limit
13531	Active	Buy	HUM	HUMANA INC	600	300	50%	Limit
13530	Done for day	Buy	CLOV	CLOVER HEALTH INVESTME...	1000	1000	100%	Limit
13529	Done for day	Buy	CLOV	CLOVER HEALTH INVESTME...	1000	1000	100%	Limit
13528	Done for day	Buy	CLOV	CLOVER HEALTH INVESTME...	1000	1000	100%	Limit
13527	Done for day	Buy	CLOV	CLOVER HEALTH INVESTME...	1000	1000	100%	Limit

Allocations 100%

Executions 60%

Fund	Strategy	Custodian	Quantity	Source	Quantity	Price	Broker
Prism	No Risk	Morgan Stanley	900	Electronic	1200	246.7772	Deutsche Bank
Master	Strategy A	CTI	100				
Fund A	Strategy B	Deutsche Bank	1000				

Trade blotter: Monitor the real-time execution status of each transaction with powerful filtering options.



Broad instrument coverage

Regardless of how you seek to express your portfolio views, be it in Cash Equity, Equity Swap, or Derivatives, we have the broadest instrument coverage to support your strategies.



Comprehensive trade, order, and portfolio management

Seamlessly route and execute orders with flexible workflows and real-time status updates. Gain meaningful insights into your portfolio across performance, compliance, operations, and risk.



Reporting and visual analytics

Our dynamic pre-configured and customizable reports unlock valuable intelligence, enabling portfolio managers to make data-driven decisions with confidence.



Global service and support model

Our global offices and data centers allow us to support clients in Asia, Europe, Middle East, and North America with 24/6 support. We employ highly experienced professionals positioned globally within their respective markets.

Trading and order management

- Complete trading and order routing solution
- Connectivity to executing brokers and algos, and EMS platforms through Broadridge's proprietary NYFIX network or other FIX solutions
- Real-time position and P&L views
- Dynamic connectivity with prime brokers to identify short availability and locates in real-time
- Investment mandate and regulatory compliance tools, including timely alerts and customizable rules

Portfolio management

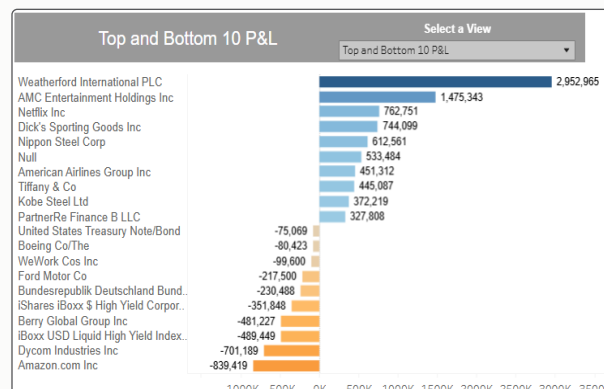
- Powerful portfolio rebalancing capabilities
- Flexible portfolio views by strategy, sector, and theme
- Automated security terms and conditions setup, and maintenance
- Cash management and inception-to-date record keeping
- NAV analysis, full shadow accounting, and reporting
- Automated corporate action processing
- Automated reconciliations with counterparties

General ledger

- Automated double-entry general ledger for all portfolio management activities
- Accounting period close workflow
- Financial statement suite (e.g. trial balance, income statement, balance sheet)

Firm-wide management and client reporting

- Dynamic and customizable performance, operations, and financial reporting
- Automated report generation and distribution
- Online data analysis tools including full time-series from inception



Visual Analytics: Top and Bottom 10 P&L visual from our out-of-the-box P&L Summary Dashboard.

Optional risk management

- Scenario Analysis: View and compare the impact of scenarios on any actual or model set of positions, portfolios, or analytics
- Value-at-Risk: Calculate VaR intraday (including marginal, incremental, component, and conditional)
- Sensitivity Analysis: Greeks and interest rate sensitivities

Broadridge Financial Solutions (NYSE: BR) is a global technology leader with the trusted expertise and transformative technology to help clients and the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients – driving operational resiliency, elevating business performance, and transforming investor experiences.

Our technology and operations platforms process and generate over 7 billion communications per year and underpin the daily trading of more than \$10 trillion of securities globally. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 14,000 associates in 21 countries.

[Broadridge.com](https://broadridge.com)

