



May 11, 2020

Dear Broadridge Client:

I hope this message finds you, your families and colleagues healthy. With 10,000 associates and family members in the hard-hit New York area, the Broadridge family has very directly felt the human toll of this pandemic. Our thoughts and prayers are with the Broadridge families who have suffered from Covid-19 and with the families of our clients who have experienced similar heartbreak. We will do everything we can to support those in need.

As we all navigate these unprecedented times, be assured that Broadridge is here for you. As a global company, we are strong and resilient. We have invested in our technology as well as in the health and safety of our associates. As a result, we have continued to deliver essential services throughout the crisis. We believe the new normal will only accelerate the need for the right industry partnerships, and we look forward to supporting you and your team.

As you know, Broadridge performs a fundamental role in enabling the functioning of the global financial system, powering critical infrastructure behind investing, corporate governance, and investor communications. Working with you, we support the financial well-being of millions of investors world-wide.

Like you, the health and well-being of associates is our highest priority. Nearly 90% of our associates globally are working remotely. At the same time, we have taken strong measures to ensure the safety of our production associates. Working closely with our on-site medical teams and third-party experts and in line with CDC guidance, we equipped our production associates with masks and gloves, and implemented social distancing measures across shifts. We removed economic pressure to work with extended leave policies, and we mandated temperature checks. In hard-hit New York, we reduced staffing to below 50% and redistributed work to other facilities. These measures have been effective and have enabled us to continue to deliver on our commitments.

Through these challenging times, we have been working even harder to keep capital markets, wealth and asset management, and corporate governance running smoothly. Our resilient and scalable technology has processed spikes many times our normal volume without issue. We have delivered through the peak of proxy season and through a surge in trading-related communications. As companies have rapidly shifted their annual meetings online, we scaled to deliver four times more virtual shareholder meetings than last year.

Broadridge is committed to supporting your business and we truly value your partnership. We will continue to deliver on our commitments to you as we remain focused on the health and safety of our associates. As always, our account teams are available at any time to discuss how we can further support you.

From our Broadridge family to yours, our thoughts and well wishes are with all of you.

Best regards,

A handwritten signature in blue ink, appearing to read 'Tim Gokey'.

Tim Gokey, Chief Executive Officer