

Drive visibility and profitability through automated revenue and fee billing management

KEY FEATURES

- Reduce operational risk through automation and standardization to help ensure fee accuracy and revenue optimization
- Replace time-consuming, error-prone manual processes and Excel-based solutions
- Capture and centralize accounting data in a single repository, improving transparency and accuracy of data files sent downstream
- Transform workflows to generate a tangible, quantifiable ROI through the solution's Workflow Engine
- Variance checks, exception reporting, four-eyes checks and STP ensure fee accuracy and completeness from calculation to finalization
- Empower business users to address their reporting requirements, in accordance with standards for compliance, auditing, and financial control

Fee types and schedules continue to become more complex. As regulators push for more transparency, firms need new ways to increase automation, reduce costs and add control.

QUICKLY ADD NEW FEE ARRANGEMENTS AND RELATIONSHIPS

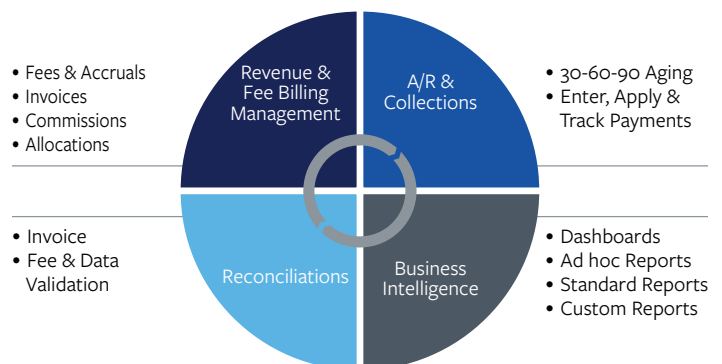
As product lineups, fund structures, investor bases and geographies grow, the industry is faced with the increased complexity of fee calculations. With the right fee billing and revenue management solution, it becomes possible to introduce new complex fee calculations at scale, enable better oversight and control, and generate significant savings with cloud-based technology. With the increase of UMA/SMA in the market, technology can enhance investment models to support the spectrum of relationships and vehicles designed to attract new business.

FEE ACCURACY, CONTROL AND VISIBILITY

Replacing out-of-date and disparate technologies can help reduce fee leakage and expedite cash flows. A centralized, end-to-end automated solution can power sophisticated analysis and workflow tools. Firms can automatically split revenue and expenses, and quickly create multi-level distributions. This can simplify allocations across internal companies, desks and teams.

GAIN A GLOBAL ADVANTAGE

Wealth and asset management organizations are finding new opportunities through global expansion. With a solution that automates multi-currency and multi-lingual capabilities, it becomes easier to integrate multiple languages, exchange rates, taxes and invoice outputs into operations and communications, ensuring visibility and control across countries and jurisdictions.



ENSURE COMPLIANCE AND REDUCE RISK

With scrutiny of fee arrangements and investment performance on the rise, it's critical to have reliable, real-time reporting, processes and audit trails that reduce risk. A single, centralized data repository provides firms with the business intelligence they need and the access, visibility and control to operate with confidence.

STAND FIRM IN THE FACE OF TODAY'S TOUGHEST CHALLENGES

As regulatory pressures are mounting, margins become increasingly compressed. An outdated process or technology places businesses at risk. However, with the right enterprise-ready solution, it is now possible to cost-effectively consolidate data, streamline processes, and accelerate cash flows.

ABOUT REVENUE & FEE BILLING MANAGEMENT

The solution is a browser-based, enterprise-ready solution set that connects with your record keepers, custodial accounts, and general ledgers systems which can be implemented as a fully managed service, on a scalable, highly available, secure AWS Cloud Environment including DR and BCP.

This highly flexible, rules-based fee engine automates revenue and expense management across more than 50 different types of fees, including management, performance, administrative and advisory fees, advisor commissions, revenue-sharing fees, taxes and fund rebates. It supports both multi-lingual and multi-currency transactions, including VAT and goods-and-services taxes.

RECENT CLIENT QUOTES ABOUT THE BENEFITS OF THE SOLUTION:

"Quicker roll out of new product offerings due to flexible configuration capabilities of fee calculation rules"

"Elimination of 7 out of 8 offline reports due to enhanced data and reporting capabilities"

"Increased integration between systems and the billing platform to enable automated data transmission"

"Streamlined invoice production and distribution"

"Improved capabilities to scale operations and support strategic growth objectives"

"Simplification of fee proration calculation methodology"

Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

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