

# Broadridge Wealth Aggregation and Insights

Intelligent, actionable and personalized data that you can use.

## Turn data into action to drive wealth management success

Data accuracy isn't enough. Today's world of regulatory pressure, rising client expectations, and relentless competition, and data-driven AI, means that your success depends on turning trusted data into meaningful action — fast. Broadridge's enterprise-grade Wealth Aggregation and Insights solution goes beyond aggregation, empowering your firm and advisors to lead with intelligence, clarity and personalization. Enterprise data, unified & ready to deliver

## Enterprise data, unified & ready to deliver

Broadridge's Enterprise Data Warehouse consolidates advisor, client, and firm data spanning all custodians, asset types, and liabilities into a single, secure source of truth. With cleansed, normalized, and fully reconciled information accessible across your organization, you can support compliance, streamline reporting, power analytics, and drive growth from trusted data.

Say goodbye to error-prone screen scraping by leveraging direct connections to more than 4,500 custodians and over 16,000 data sources. Broadridge provides secure, direct feeds and client-authorized integrations that ensure you maintain accuracy, timeliness, and regulatory confidence, regardless of data complexity.

Equip your team with a robust library of advanced reports and analytics. Whether you need investment, insurance, compliance, or business reporting at the client, group, advisor, or firm level, Broadridge's unified reporting solutions provide actionable insights for every tier.

All of this is supported by an API-driven architecture and flexible web modules that allow you to seamlessly integrate both Broadridge and third-party data into your existing ecosystem, ensuring your organization remains agile, connected, and well-informed.

Exploring your data is effortless, whether you're an advisor, analyst, or executive. Our platform makes even the most complex financial information accessible, allowing you to interact visually and intuitively, no coding or queries required, so you always have the insights you need, right at your fingertips.

### Comprehensive



Delivers a 360-degree view of all client asset classes

### Convenient



Accessible via desktop, API-driven data mode

### Consistent



Supports client and compliance interactions enterprise-wide

## AI-enabled natural language search

Quickly find the data you need with Broadridge's AI-assisted, plain-English search capabilities powered by OpenAI. Users can query as easily as they would in Google, using natural language. Simply type requests like "Show me all client accounts in Texas over \$1M with upcoming RMDs," and instantly receive relevant answers that eliminate manual effort and accelerate decision-making across your organization.

Every search takes place within Broadridge's secure, hosted infrastructure, so your information remains protected. Machine learning feedback loops continuously to improve the system, adapting to your evolving needs while maintaining the highest standards of data integrity and security.

## Advanced insights, actionable results

Directly link your business analytics to compensation with Broadridge Advisor Compensation Platform enabling seamless modeling, tracking, storage, and visualization of advisor payouts and gross commissions. Whether you integrate your own compensation structures or leverage our comprehensive dashboards, enterprise-level analysis becomes easy and intuitive, giving you actionable visibility into key incentive drivers across your organization.

Navigate legislative changes like SECURE 2.0 with confidence using Broadridge's solutions for retirement planning and automated Required Minimum Distribution (RMD) tracking. Instantly access custodian-sourced RMD data, presented through clear worksheets and charts that illustrate distributions, progress, and outstanding requirements. This level of transparency empowers advisors with critical insights and ensures peace of mind for investors.

## Values-based investing: hyper-personalized portfolios

Today's clients increasingly seek investments that reflect their personal principles, whether ESG, impact, faith-based, or other distinct causes. Broadridge's innovative values assessment goes beyond traditional portfolio screening, enabling you to truly understand what matters most to each investor. Through an integrated questionnaire, investor priorities are captured and seamlessly woven into risk and holdings analysis, delivering hyper-personalized recommendations and tailored portfolio alignment.

With holistic scoring and clear impact displays, you can demonstrate precisely how investment choices align with client values. This transparency not only builds trust but also helps deepen long-term relationships, empowering advisors to deliver meaningful, value-driven investment experiences.

## Enterprise-wide benefits

Access comprehensive, 360° visibility across every position, account, transaction, liability, and insurance product, no matter where assets are held, providing a holistic view of your entire business. Broadridge empowers both leaders and advisors with scalable practice management tools, including advanced portfolio modeling, multi-level reporting, performance tracking, intuitive dashboards, and AI-powered insights, streamlining operations at every level.

## The future of wealth management, today

**Broadridge Wealth Aggregation and Insights empowers you to:**

- Streamline compliance across your enterprise
- Equip advisors to make an even greater impact
- Deliver exceptional, personalized client experiences
- Integrate easily with your existing technology stack
- Achieve transparency, intelligence, and an enduring competitive edge

Count on industry-leading security, governance, and compliance features to safeguard your organization. Daily reconciliations, built-in compliance protocols, and rigorous privacy controls deliver the confidence and protection you require every day.

With over two decades of industry expertise, Broadridge is committed to superior service from implementation through ongoing support. Our partnership begins with seamless onboarding and personalization and continues with dedicated assistance to ensure your evolving needs are met.

Visit [Broadridge.com](https://Broadridge.com) or contact Rob Zoll, Sales Director, at [Robert.Zoll@broadridge.com](mailto:Robert.Zoll@broadridge.com) to schedule a demo today.

Broadridge Financial Solutions (NYSE: BR) is a global technology leader with the trusted expertise and transformative technology to help clients and the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients – driving operational resiliency, elevating business performance, and transforming investor experiences.

Our technology and operations platforms process and generate over 7 billion communications per year and underpin the daily trading of more than \$10 trillion of securities globally. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 14,000 associates in 21 countries.

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