Solutions for retirement plan recordkeepers

Recordkeepers face tremendous margin pressure and operational challenges, while participant and sponsor demands raise the bar higher every day. In this intense environment, constant innovation is the only way to stay ahead. Broadridge can help transform your business in ways others can't. Tap into a full spectrum of specialized technologies designed to help you manage client relationships and grab a bigger slice of the market. Combined with our advanced data analytics and full managed services, you're ready for whatever challenges come next.

ACCELERATE YOUR TRANSFORMATION.

Grow Your Business — Use data and analytics to identify top advisor prospects and win new business. Deliver personalized communications to improve retirement outcomes, maximize conversions and increase assets.

Simplify Revenue and Expense Management — Automate critical revenue and expense management processes to help ensure fee accuracy and revenue optimization. Calculate, verify, and create plan sponsor invoices with automated workflows and sophisticated reporting dashboards.

Work with a Partner You Can Trust — Be assured that we don't compete with you for retirement plan business. We neither employ an advisor salesforce, nor offer proprietary products or investment management services.

Manage Qualified and Non-Qualified Plans — Work with our experts in trust, custody and trade processing. We help manage traditional qualified plans such as 401(k), 403(b), profit sharing, defined benefit and cash balance plans, as well as health and welfare and non-qualified plans.

Broadridge®

Powering Retirement. Empowering You.

Innovate with confidence using these powerful solutions.

OPERATIONS AND TECHNOLOGY

TRUST AND CUSTODY

The Matrix Trust Company, part of the Broadridge family of companies, provides independent trust and custody services for the retirement plans you manage. We can also serve as a directed trustee or custodian for qualified or non-qualified retirement plans. We provide Paying Agent Services and fund agreement comparison services, using an independent, certified public accounting firm for audit control. We'll also help you establish and manage non-qualified plans and rabbi trusts for key executives.

PLAN SPONSOR INVOICING

Automate fee billing and invoicing with our highly flexible, rules-based fee engine, covering more than 50 types of fees, including commissions, advisory, sub-TA, 12b-1, wrap, performance fees and more.

TRADE PROCESSING

Rely on the largest agnostic platform in the wealth and retirement services channel. Achieve greater efficiency and gain more time to concentrate on your clients by automating aggregation, reconciliation and processing of transactional data. We currently trade $425 billion of AUA and can offer a selection of 32,000 mutual funds and ETFs from across the industry with no proprietary or required funds.

MODEL PORTFOLIOS

Seamlessly deliver mutual fund and ETF-based portfolios to your retirement plan clients. Our comprehensive modeling solution enables you to set periodic and tolerance rules, select options for maintenance and rebalancing, and access third-party strategists. Produce model-level and plan-level fact sheets with unitized models that can be applied across multiple plans.

PARTICIPANT, PLAN AND ADVISOR ENGAGEMENT

PARTICIPANT MARKETING

Our secure and scalable digital foundation enables you to build a consistent, unified experience that ties together every interaction, from mobile to web to call center. Keep plan participants engaged and improve retirement readiness with highly personalized multi-touch and multichannel campaigns. Innovative strategies and practical tools help transform the participant experience with conversion campaigns, enrollment kits, journey mapping, composition, microsites, and more.

REGULATORY COMMUNICATIONS

Streamline compliance with a single-source solution for all of your regulatory communication needs. Utilize print and digital options to deliver statements, notices, disclosures, proxies and more via the participant's channel of choice.

PLAN AND ADVISOR PROSPECTING

Easily pinpoint your best prospects and sell more effectively with market intelligence for targeting retirement-focused advisors. Sales teams can spend less time researching prospects, confident that they have the insights they need to compete. Using a single, mobile-enabled interface, consolidate, score and analyze data from multiple sources and identify plans where your products may outperform the competition.

ROLLOVER AND IRA SOLUTIONS

Recordkeepers across the industry risk losing assets through rollovers, forceouts and terminations. Managing IRAs on a 401k system is too expensive. Now you can retain account balances of all sizes with our built-for-purpose everyIRA platfrom. Broadridge serves as custodian, making it simple to roll over existing plan lineups.

TOOLS FOR ADVISORS

Maximize productivity with specialized tools that help advisors grow their retirement business and better manage client relationships. Leverage Broadridge's experience in digital and print marketing.

LEVERAGE A SINGLE-SOURCE PROVIDER WHO

Communicates to

65M+

retirement participants annually

Administers

$425B in assets

Custodies

30M customer accounts

Reaches

75% of all U.S. households

DISCOVER HOW BROADRIDGE CAN HELP EMPOWER YOUR BUSINESS.

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Or visit:
broadridge.com/resource/retirement-insights

Ready for Next

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