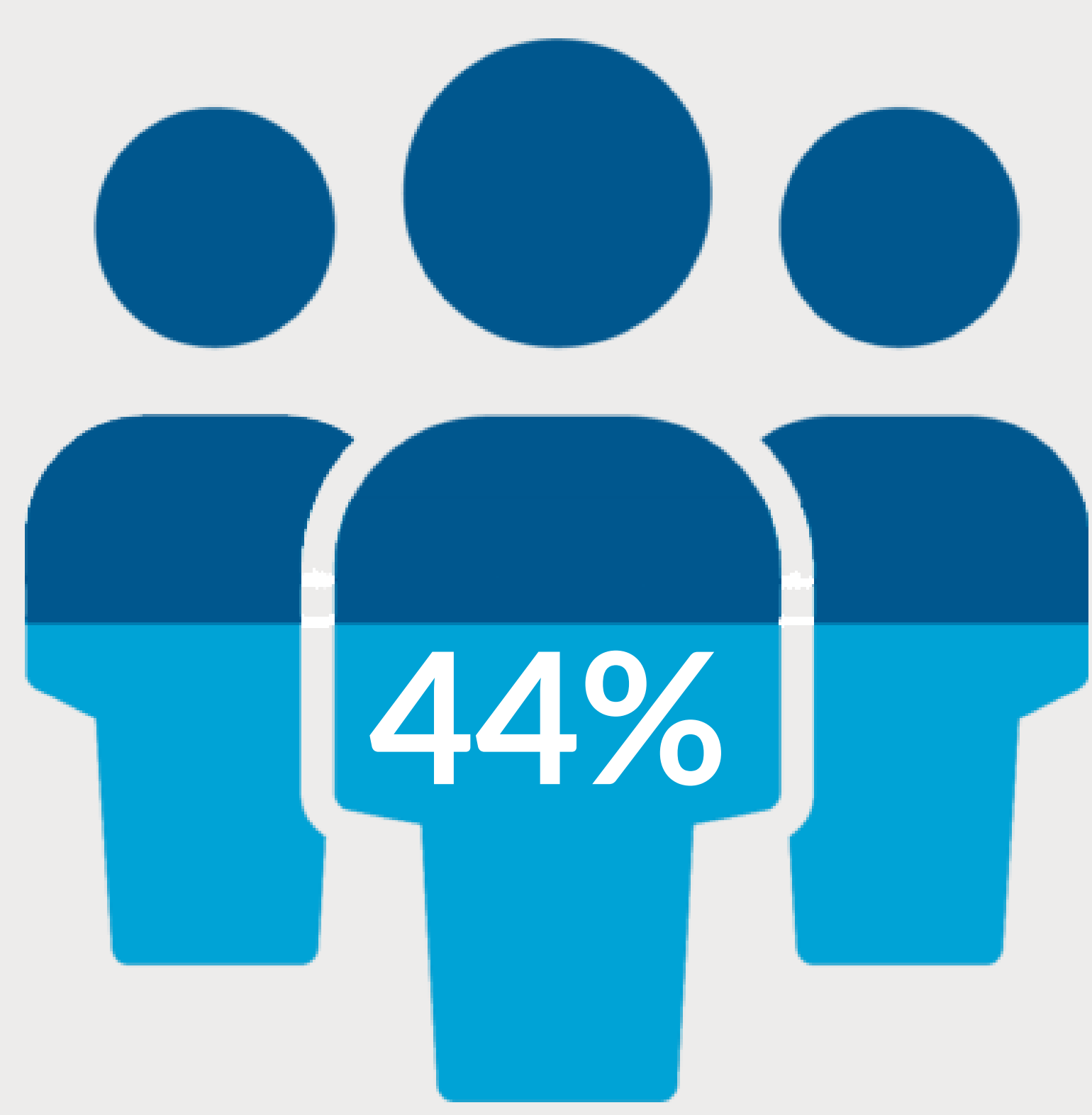


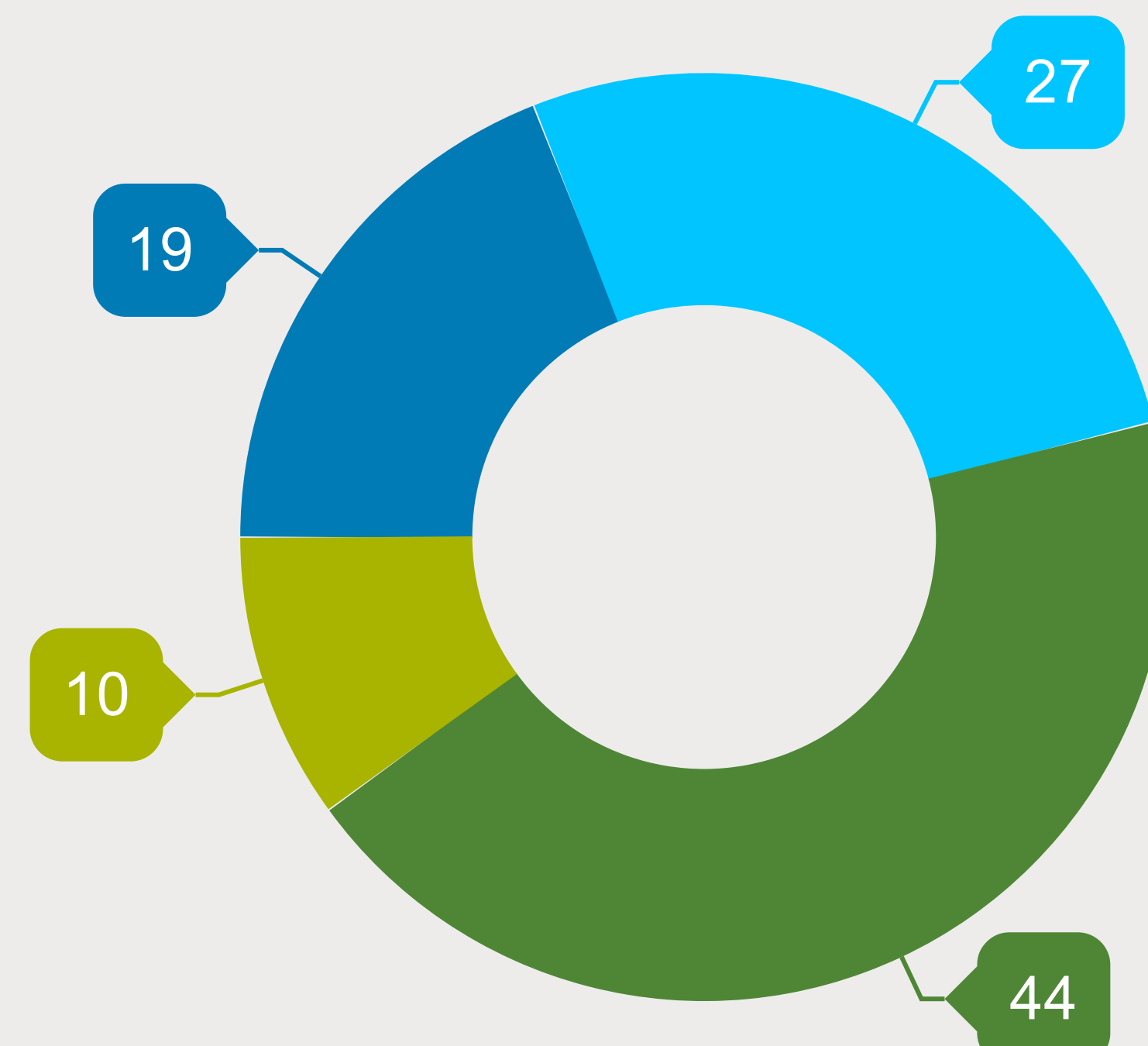
# SFTR: Securities Finance Industry Survey

In April 2018, Broadridge surveyed 132 Securities Finance market practitioners on their preparations for the forthcoming Securities Financing Transactions Regulation (SFTR)

## What stage have you reached in your SFTR preparations?

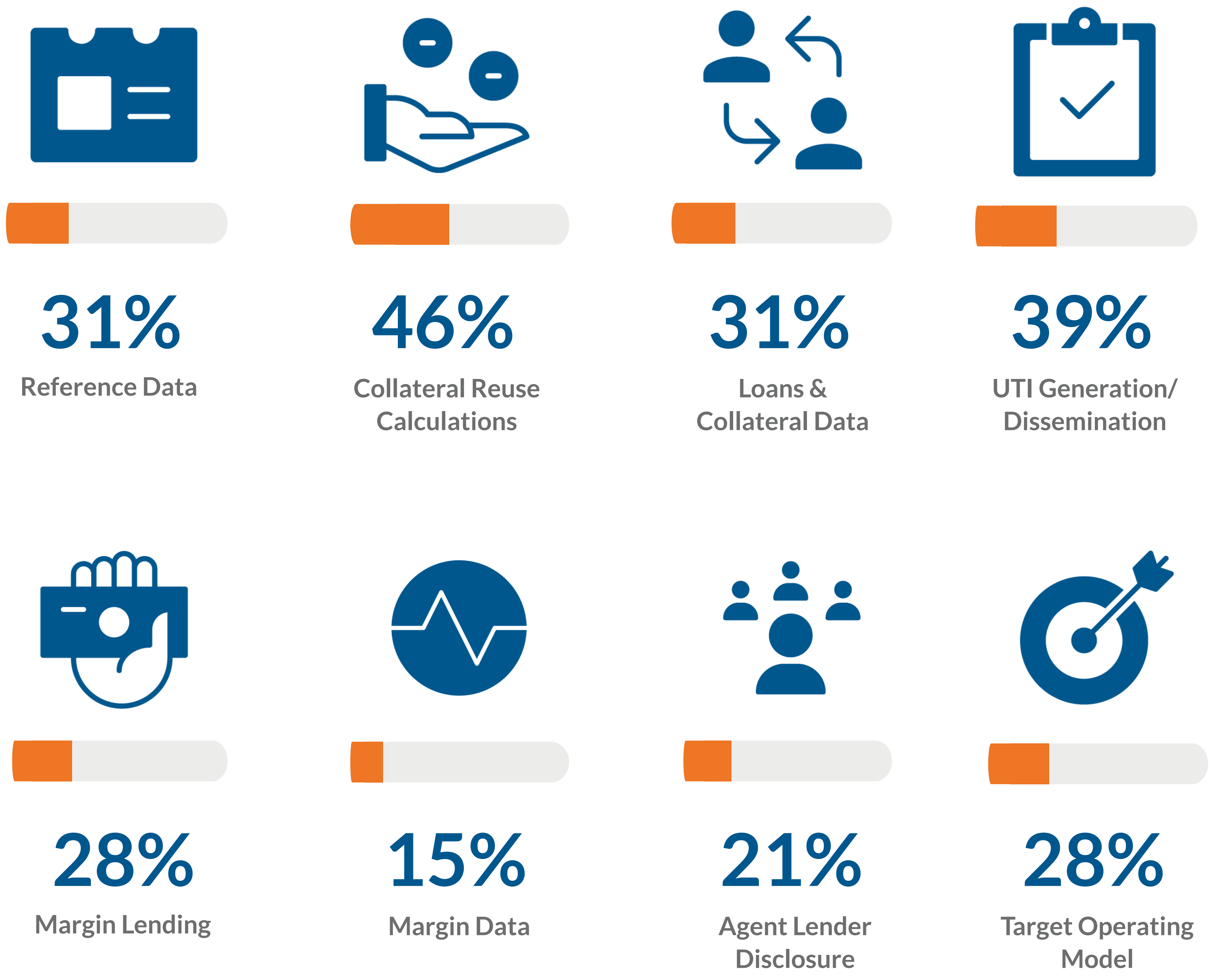


Participants surveyed who have not yet started preparing for SFTR

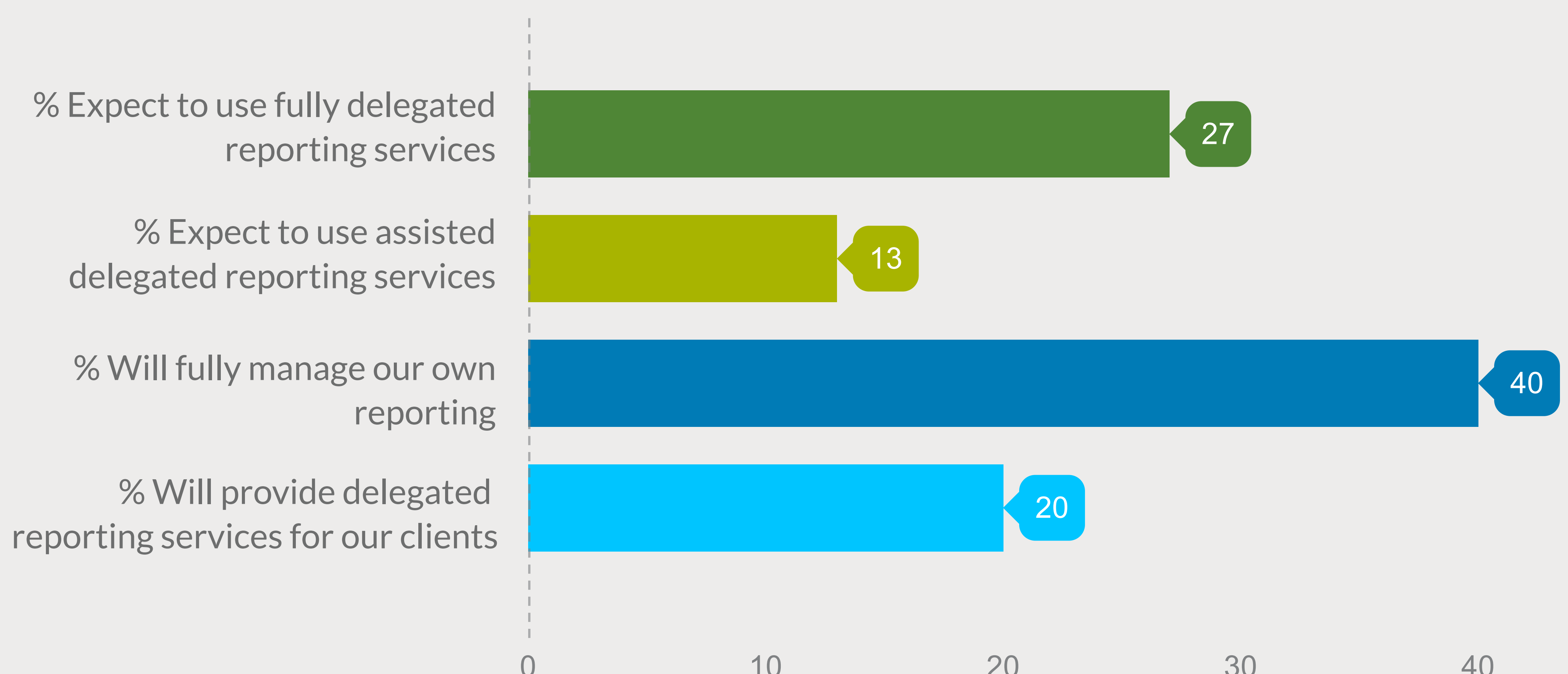


- Not started yet - 44%
- Defined target operating model but not yet selected technology vendor/TR - 27%
- Defined target operating model, selected technology vendor and TR but not yet begun implementation - 19%
- Implementation project commenced - 10%

## Which areas of SFTR are you finding most complex?



## What is your approach to delegated reporting?



## Collaborate with the right technology partners

**Choose a vendor with a proven track record** in helping clients comply with transaction reporting mandates, and a deep expertise in securities finance.

**Grasp internal requirements** and the process changes required early on. Start planning to identify data gaps and coping strategies.

**Select technology partners** with a long term vision around how SFTR and other reporting mandates can provide competitive advantage and who can provide a consultative approach.



Find out more about Broadridge's SFTR solution by visiting: [www.broadridge.com/SFTR](http://www.broadridge.com/SFTR)

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