

Price Master

FEATURES

- Comprehensive user interface for analyzing current and historical pricing information
- Pricing Rule designer for creating custom pricing and valuation rules
- Complete audit trail of all historical prices, valuation rules, and pricing sources
- Standardized adapters to all leading market data providers (e.g., Bloomberg, Thomson Reuters, IDC, Markit)
- Fully automated pricing workflow from data collection to downstream posting
- Complete pricing and compliance report library (e.g., SSAE 16, price variances, price exceptions, etc.)
- Automated reconciliation of internal pricing with 3rd party service providers (e.g., fund administrators, prime brokers, valuation services, etc.)
- Message-based posting infrastructure with web services API for integration to downstream systems.

CENTRALIZED PRICING AND VALUATION

Broadridge's Price Master is a centralized pricing and market data solution developed to support the complex pricing and valuation requirements of today's investment managers. Price Master collects and stores pricing data from multiple sources (e.g., data vendors, broker files, spreadsheets, text files, etc.) and provides a flexible user interface for analyzing prices and defining pricing rules to comply with firm-wide pricing policy.

Price Master centralizes and manages your complete end-of-day pricing and valuation requirements.

Pricing Vendor | FTP SItes | Counterparty Pricing Valuations | Custom Market Data

Price Master

Consistency Management | Client-Based Pricing Policies EOD Pricing and Valuations

Time Series and Audit Reporting | Accounting Systems Risk Systems | Internal Systems

FIRM-WIDE PRICING AND VALUATION RULES

Allow users to create specific pricing rules at all levels of the portfolio (e.g., legal entity, strategy, security, security type, etc.). Pricing rules may be simple calculations such as averaging multiple pricing sources or may be more complex with models, curves, and formula-based calculations (e.g., credit spread to market price conversion). By eliminating manual pricing spreadsheets, Price Master significantly improves data integrity, streamlines operations, and guarantees compliance with official firm-wide pricing policy.

COMPLETE AUDIT AND COMPLIANCE REPORTING

Maintains all daily pricing and position history including final prices, valuation rules, and pricing sources. Users may run standard audit and compliance reports or create custom reports. Users may be permissioned at all functional levels to eliminate any data error or price manipulation.

Portfolio Details *											
Portfolio											×10-
Date 02/22/20	01 🚯 n	rd 6	• (N								
SecType	* CCY	SecurlyName			Pestion	What if - BEG	Sugg Final Price	PrevPice	Pice	Source Label	6
BOND CORPORATE	682		IK CAP FUND TRU F		3.350.000		78.11540000000			78.1154 RTR / 88G Rule	
BOND CORPORATE	USD	USD BANK OF IRELAND FLT 07/05/18 SR-EMTN AUD AUSTRALIAN GOVERNMENT 5/25/1 05/15/11 SR 1			1,000,000		47.50120000000			47.5012 RTR / 80G Rule	
BOND SOVEREIGN BOND SOVEREIGN	AUD	AUSTRALIAN GOVERNMENT 5,7501,06/15/11 SR 11 TSY 4 1/81, 2006 4,2501,02/07/06			10,000		100 2646000000			100.2545 RTR / 80G Rule 92.5100 RTR / 88G Rule	
BOND SOVEREIGN	GEP		194 5001 03/07/19		1 000 000		105.000000000			105,8000 RTR / 88G B.4r	
BOND SOVEREIGN	USD		GAS-A \$ 500% 07/1	5/17 SD-SEE &	55.000		102.000000000			\$0.1975 RTR / 80G Rule	
	-		-	(_		
				Sec Quetes Hatory	Vev		~ # Q X	Sec Challenge History 1	lev		++Q-
T FromDate 11/3	10/2009 15			T fromDate	11/36/2009	115		T PromOste		29 135	
	-			_		-		_			
ToDate 02/23/2011 13				ToDate		[13]		ToDate 12		15	
SEC_CODE D	late Si	w/0e *	ank L	SEC_0006	Oute	QuateSource (Duote Source	SEC_CODE	Source	Challenged Price	Price Date
CM28_0.00_3/56 0			76.81160000	 CM28_0.00_3.1 			46.45000000.	CM28_0 00_3-56		78.45540000000000	
	2/16/2011 12. 88		77 85229000.	CM28_0.00_31			46.65000000.	CH28_0.00_3/56	883	77.7654000000000	0 62/23/201
CM28_0.00_3/06 8	2/17/2011 12. 81	KG	78.73390000. *	CH28_0.00_3/			46.53000000.				
		0	0	CH(28_0.00_3/1	6 07/10/20.	GS -	45.0000000				
								<			,
[Seuce] • 380"											
[Source] + 1880"										Cancel	Save
[Source] + 1887 Incomity Sinds Very										Cancel	
lecurity Single View							1		_	Cancel	
incurity Single View		COMMERCIANK CA					1 3 3 - Second	y Groups • 👩		Cancel	
iecurity Single View	ved User defined	toka Postora	Henry Charles Or	e Day Price Sugges				ly Groups - 🖸		Cancel	
iecurity Single View	ved User defined	toka Postora	Henry Charles Or	e Day Price Sugges				hy Groups = 👩		Cancel	
Recently Single Vew - O - D D D J encod Monthes Advan Prome (2005/0000 _	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				ty Croups • 🚦		Cincel	
incurity Single View - C + C C A Advant From (Liss(2000) Chartope: (Pree	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				iy Graeps • 💽		Cancel	
incurity Single View - C + C C A Advant From (Liss(2000) Chartope: (Pree	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				y Croups • 💽		Cincel	
incurity Single View - C + C C A Advant From (Liss(2000) Chartope: (Pree	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				y Graups + 🛛		Cancel	
incurity Single View - C + C C A Advant From (Liss(2000) Chartope: (Pree	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				iy Groups + 💽		Gancel	
Incentity Single View	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				y Crees - 🖸		Gancel	
Incentity Single View	Ter (12) %(2011	toka Postora	Henry Charles Or	e Day Price Sugges				y Crees -		Gancel	
incrarity Single Vew	nood Uwer defined To: [12/ 9(0011 Hellony B	CORPERIZANS CA Solida Postora Sources	Hanay Olefis C	e Day Frice Sugges afresh	ted Sources (Aud	t Gaves Soan	or Mapping]	·~.	3	Gancel	
incentity Single Vow	Ter (12) %(2011	CORPERIZANS CA Solida Postora Sources	Hanay Olefis C	e Day Frice Sugges afresh	ted Sources (Aud	t Gaves Soan		ty Droups -	200 MR		,
incrafty Single Vow	Nood User defined To: [127 9(0011) Hellory	toka Postora	Henry Charles Or	e Day Price Sugger afresh			or Mapping]	·~.	ar 0021110		Save

Flexible User Fully Customizable Interface Automated Pricing Compliance Workflow Report Library



Complete Audit Trail



Automated Reconciliations

Broadridge, a global fintech leader with over \$9 billion* in market capitalization, provides communications, technology, data and analytics solutions. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth. *As of November 2017

Ready for Next

Communications Technology **Data and Analytics**

broadridge.com

AMERICAS 1 Park Avenue New York, NY 10016 +1 844 988 3429

EMEA 52 Cornhill, 3rd Floor London England EC3V 3PD +44 20 3808 0724

APAC 5th Floor. Ruttonjee Center 11 Duddell Street, Central Hong Kong +852 5803 8076

© 2017 Broadridge Financial Solutions, Inc., Broadridge and the Broadridge logo are registered trademarks of Broadridge Financial Solutions, Inc. MKT_180_17

