

# Automated CLO Platform Delivers Significant Growth for Global Asset Firm



## THE CLIENT - PINEBRIDGE INVESTMENTS

PineBridge Investments is a global asset manager with offerings that span the asset class and capital structure spectrum. PineBridge currently manages \$111.7 billion (US) in assets with investment capabilities in multi-asset, fixed income, equities and alternatives.

## GLOBAL FIRM'S SUCCESS REQUIRES INCREASED SCALABILITY

PineBridge Investments was experiencing rapid growth when the firm determined that their current portfolio management system could no longer perform at scale. PineBridge began the search for a new platform. They sought a fully automated, front-to-back-office solution engineered to handle the complexities of their growing collateralized loan obligation (CLO) business. PineBridge conducted a rigorous evaluation process with several systems that could potentially meet their needs. After several rounds of product demonstrations and proof of concepts, the PineBridge team decided that Broadridge Portfolio Management for CLO financial technology was the most compelling solution and uniquely positioned to meet their needs.

## FULLY AUTOMATED SOLUTION EMPOWERS GROWTH

Following the implementation of Sentry PM, PineBridge continued to experience tremendous growth in multiple areas of their business. In just two years, the firm:

- Grew CLO assets from \$7 billion AUM to \$9.25 billion AUM
- Increased from 17 to 25 SMAs
- Issued six new CLOs
- Added product coverage for CLO debt, FX, derivatives
- Expanded into the APAC region

Years after implementation, Sentry PM continues to deliver results. This automated platform gives them the capacity to handle additional volume and a deeper breadth of functionality. PineBridge Investments considers Broadridge's Sentry PM a strategic partner: the increased efficiency, scale, and transparency it provides is integral as PineBridge continues to grow its operations.

## A TRANSPARENT FRONT-OFFICE VIEW

Sentry PM provides a consolidated front-office view via interactive dashboards. This helps centralize pre-trade compliance and investment data. It increases transparency, allowing analysts and portfolio managers to easily access detailed views on investments and CLO activity.

## CORE BENEFITS

- **Consistent user experience**
- **Streamlined operations**
- **Scalable high-volume processing**
- **Reduced operational risk**
- **Improved efficiency**

### STREAMLINED MIDDLE AND BACK OFFICE OPERATIONS

Sentry PM unifies and automates middle- and back-office operations, boosting efficiency of loan administration and trade settlements. This streamlined user experience allows for quicker execution of hypothetical trade scenarios and customized reports. Automation also reduces opportunity for human error, saves time and enables data feeds including the security master, pricing, ratings and reconciliations.

### GLOBAL DATABASE DELIVERS REAL-TIME INSIGHTS

A single global database and unified portal overcomes challenges associated with data silos and compliance. Sentry PM delivers real-time visibility, enabling PineBridge to globally monitor fund restrictions, waterfall scenarios and CLO guidelines, all in one place.

### BROADRIDGE SUPPORT FOR CLO MANAGERS

Simplify and unite the front, middle and back offices with one interconnected system. Empower your team to adjust to client workflows and make real-time updates in a system that is scalable as you grow.

*“The Broadridge team took the time to learn about our workflows and processes to better tailor Broadridge Portfolio Management for CLOs as a customised solution for our company.”*

– Investment Manager, PineBridge



Analyze hypothetical trade scenarios



Pre- and post-trade compliance engine



Manage analysis, execution and administration



Track full waterfall projection and modeling



Calculate maximum trading capacity



Aggregate data across strategies, portfolios and assets

Broadridge, a global Fintech leader with over \$4.5 billion in revenues and part of the S&P 500® Index, provides communications, technology, data and intelligence. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth.

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