

## Political Contributions

We believe it is in the best interests of the Company and its stockholders to engage constructively and responsibly in the public policy and political process to advance and protect the long-term interests of the Company. Therefore, we participate in the development of public policy that addresses issues affecting our industry, business, products, clients, associates and communities. We do so in various ways, including educational outreach to elected officials on key public policy issues related to the Company's business, facilitating voluntary political giving by eligible associates and directors through the Broadridge Financial Solutions Political Action Committee (the "Broadridge PAC"), and membership in trade associations that help to advance our business objectives. The Company's political activities and related spending reflects the interests of the Company and its stockholders, and not those of any individual director, officer or associate.

The Board of Directors has adopted a Political Contributions Policy to help ensure that any political contributions and expenditures are done in a manner consistent with the Company's commitment to the highest standards of ethics and business integrity and to protect and enhance stockholder value. See Broadridge's [Political Contributions Policy](#).

The Company's Board of Directors has oversight responsibility for: (1) Broadridge PAC spending, corporate expenditures to influence public policy and dues and other contributions to trade associations and (2) Broadridge lobbying priorities and activities.

It is the Company's policy that no Company resources, including the use of Company premises, equipment or property, or Company funds, may be contributed to any federal political candidate, political committee (other than for the administrative or solicitation expenses of the Broadridge PAC, as permitted by law), political party, state ballot measure committee or to any other organization for the purpose of attempting to influence elections or ballot measures. Additionally, it is the Company's policy to not make contributions to Internal Revenue Code section 501(c)(4) social welfare organizations.

The Company may however, from time to time make a limited number of corporate political contributions at the state or local level where permitted by law and approved in advance by the Company's Chief Executive Officer, or in his absence, the General Counsel.

- The Company has made no federal, state or local political contributions in 2020.
- The Company did not contribute to any Internal Revenue Code section 501(c)(4) organizations in 2020.

The Broadridge PAC was formed in 2010 and began accepting contributions in 2020. All contributions to and by the Broadridge PAC are fully and publicly disclosed in reports filed with the Federal Election Commission and can be viewed on the website of the [Federal Election Commission](#).

- As of June 30, 2020, the Broadridge PAC has received \$137,500 in contributions and has not made any political contributions.

## **Political Contributions**

The Company engages in lobbying on both the federal and state levels to advocate our positions on issues that affect the Company, our industry, business, products, clients, associates and communities. While the Company itself is not a registrant under the federal Lobbying Disclosure Act (“LDA”), it does retain lobbying firms to engage public officials on its behalf, whose identities, LDA registrations and reports are [publicly available](#).

- As of August 31, 2020, the Company’s aggregate lobbying expenditures were \$300,000 in 2020.

The Company maintains memberships with a variety of trade associations to promote and protect the economic future of Broadridge and its stockholders and associates. Set forth below are the names of trade associations that received annual payments from Broadridge of at least \$50,000 in 2020:

- SIFMA
  - Infovisa
  - U.S. Chamber of Commerce
  - National Association of Corporate Directors
- As of August 31, 2020, the aggregate annual amount of payments made by the Company to all such organizations in 2020, including but not limited to those named above, is approximately \$890,000.