

# Registered Mutual Fund Proxy Team



**MICHAEL COLLINS**

*VP/General Manager*

Michael joined Broadridge in 1996 to build the registered mutual-fund client-service and account-management functions. Today he is responsible for developing solicitation strategies for key mutual fund relationships, developing new solutions and managing senior level relationships.



**GEORGE KOZAKIS**

*Vice President, Client Relations and Administration*

George has been with Broadridge since 2010. He holds overall responsibility for the management and accountability of all aspects for complex proxy solicitation campaigns and for insuring a positive client experience with Broadridge.



**FRANK GUARINO**

*Senior Director*

Frank specializes in non-routine mutual fund proxies and is responsible for determining the best strategy for optimizing shareholder participation in each campaign.



**LINDA GELINAS**

*Senior Director, Client Relationship Management*

Linda runs a team of client relationship managers at Broadridge who together plan, implement, and manage proxy-solicitation campaigns, including complex-wide mutual-fund and variable-annuity projects.



**ROB VENDITTI**

*Director, Client Administration and Solicitation Analytic*

Rob has been with Broadridge since 2006. He is responsible for planning, implementing, and managing complex proxy solicitation campaigns, including complex-wide mutual fund and variable annuity projects. His primary focus is on solicitation analytics and campaign strategy.



**MELISSA KENNEDY**

*Assistant Vice President, Client Services*

Melissa joined Broadridge in January of 2002. As a senior member of the team, Melissa guides and mentors other members of the staff and takes the lead on many strategic initiatives.



**GARY FAGAN**

*Assistant Vice President, Client Services*

As a senior member of the team, Gary is responsible for the planning, coordination and management of high volume and complex mutual-fund and variable-annuity proxy campaigns.



**KRISTEN WISDOM**

*Assistant Vice President, Client Services*

Kristen joined Broadridge in 2004 and is responsible for providing expert data analysis and recommendations leading to successful proposal passage. Kristen has excellent relationship-management and communication skills allowing her to guide her clients through complex data and voting strategies.

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## **ELLEN M. ANDER**

*Senior Client Relationship Manager*

Ellen has been with Broadridge since 2007. Combining her extensive project management experience in mutual funds and annuities with excellent communication skills, she consistently partners proactively with her clients to attain successful campaign results.



## **VINCENT DEL VECCHIO**

*Senior Client Relationship Manager*

Vinny is results oriented, client focused and brings excellent communication and analytical skills to the planning, coordination and management of large mutual-fund and variable-annuity proxy campaigns.



## **ANDREA CAFFERTY**

*Senior Client Relationship Manager*

Andrea has successfully managed a wide range of complex campaigns providing clear oversight of the projects and successfully managing to client expectations. Andrea brings extensive expertise in transfer agency operations to the management of complex mutual funds and annuities campaigns.



## **CATHY ROOF**

*Senior Client Relationship Manager*

Throughout her seven-year tenure with the Broadridge proxy management team, Cathy has been known for her strong strategic approach and excellent communication, project management and organizational skills.. She plans, coordinates, and manages mutual-fund and variable-annuity proxy campaigns for our clients.



## **KATHLEEN HOYT**

*Senior Client Relationship Manager*

Kathy has been with Broadridge since 1999. She has successfully managed a wide range of mutual-fund, variable-annuity and 401k campaigns and has solidified strong relationships with Broadridge insurance-company clients as well as several Mutual Fund clients.



## **ARTHUR CANGEMI**

*Senior Client Relationship Manager*

Arthur joined Broadridge in 2015. He has over 20 years of experience in project management and leadership in the financial services industry. As Broadridge's Project Manager of Proxy Solicitation, Arthur provides leadership, vision and coordination to mutual fund clients' proxy solicitation campaigns.