

# Mutual Fund Proxy Team



**MICHAEL COLLINS**

*Vice President/General Manager*

Michael has been with Broadridge since 1996 and leads the registered mutual fund proxy division. He is a strategic leader enabling the team to achieve positive results and is a champion of identifying and implementing new and innovative solutions for successful proxy management.



**NAADIA BURROWS**

*Vice President, Mutual Fund Regulatory Communications*

Naadia joined Broadridge in 1995 and leads the teams responsible for execution and oversight of beneficial and registered regulatory distributions such as Proxy, Annual and Semi-Annual Reports, and Prospectus to underlying shareholders of mutual funds and exchange traded funds.



**GEORGE KOZAKIS**

*Vice President, Strategy and Business Development*

George has been with Broadridge since 2010 and has over 30 years of financial services industry experience. He is a key leader in the mutual fund proxy division, overseeing strategic and corporate initiatives designed to improve shareholder proxy experience and create innovative solutions for our clients.



**FRANK GUARINO**

*Vice President, Business Analytics*

Frank has over 35 years of financial services industry experience and leads the team responsible for analysis and strategy on proxy solicitation campaigns. He is a supportive leader, enabling the team to identify optimal strategies for increased shareholder engagement.



**LINDA GELINAS**

*Senior Director, Client Services*

Linda is a PMP certified professional and highly effective leader, guiding and mentoring her management team in the successful management of proxy campaigns. She is highly experienced, maintaining a focus on ensuring the highest level of excellence in proxy campaign management.



**ROB VENDITTI**

*Director of Strategy and Proxy Solutions*

Rob has been with Broadridge since 2006 and has over 20 years of experience in the financial services industry. Rob is a strategic leader with a keen ability to analyze, identify and implement solicitation strategies resulting in increased voter participation and successful campaign passage. He also plays a significant role in strategic initiatives designed to promote the mutual fund proxy division from a business development and thought leadership perspective.



**MELISSA KENNEDY**

*Manager of Client Services*

Melissa has been with Broadridge since 2002. She has an extensive background in analytics and project management, and is highly effective in managing the team responsible for planning, implementing, and managing Proxy Solicitation campaigns for mutual funds.



**ANDREA CAFFERTY**

*Manager of Client Services*

Andrea has been with Broadridge since 2011. She brings extensive expertise in transfer agency operations to the business. Andrea is responsible for a cross-functional team handling operational functions, as well as proxy project and relationship management for our insurance company, variable annuity and 401k clients.



**GARY FAGAN**  
Assistant Vice President,  
Client Services

Gary has been with Broadridge since 2007 and is responsible for the management of high volume and complex proxy campaigns. He is highly strategic with excellent organizational and analytical skills.



**KRISTEN WISDOM**  
Assistant Vice President,  
Client Services

Kristen joined Broadridge in 2004 and is responsible for providing expert data analysis and recommendations leading to successful proposal passage. Kristen has excellent relationship-management and communication skills allowing her to guide her clients through complex data and voting strategies.



**ELLEN MANDER**  
Assistant Vice President,  
Client Services

Ellen has been with Broadridge since 2007. Combining her extensive project management experience in mutual funds and annuities with excellent communication skills, she consistently partners proactively with her clients to attain successful proxy campaign results.



**VINCENT DEL VECCHIO**  
Assistant Vice President,  
Client Services

Vincent joined Broadridge in 2007. He is results oriented, client focused and brings excellent communication and analytical skills to the planning, coordination and management of large complex mutual-fund and variable-annuity proxy campaigns.



**KATHLEEN HOYT**  
Senior Client  
Relationship Manager,  
Client Services

Kathy has been with Broadridge since 1999 and has been a critical contributor to many global and client initiatives. She has successfully managed a wide range of mutual fund, variable-annuity and 401k campaigns and has solidified strong relationships with many of our most highly valued and key clients.



**ARTHUR CANGEMI**  
Senior Client  
Relationship Manager,  
Client Services

Arthur has over 20 years of experience in project management in the financial services industry. Arthur provides leadership, vision and oversight on mutual fund clients' proxy solicitation campaigns ensuring successful and positive shareholder and client experiences.



**JUD HILL**  
Senior Client  
Relationship Manager,  
Client Services

Jud is a PMP certified Project Manager, who joined Broadridge in 2002. Jud has successfully managed a wide range of projects including Variable Annuity and closed end funds. Jud is highly organized with excellent communication skills, resulting in the best in class client service.



**ELIZABETH MANSON**  
Senior Client  
Relationship Manager,  
Client Services

Betsy joined Broadridge in 2008, and brings a wealth of data and operational processing knowledge to her current role. She is an innovative, strategist, and creative problem-solver, who readily utilizes technology to streamline project management.



**CATHY ROOP**  
Senior Business Analyst,  
Business Analytics

Cathy joined Broadridge in 2008 and is currently on the business analytics team. Cathy's prior experience was as a Senior Client Relationship Manager planning and managing complex mutual fund proxy campaigns.