

# MBS Trade Assignment Portal

A smarter, more efficient way  
to process Assignment of Trades

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## DESIGNED EXCLUSIVELY FOR MORTGAGE ORIGINATORS, ASSET MANAGERS AND BROKER-DEALERS

- Transparent workflow – know the status of your AOT anytime
- Use of E-Signature – no need to download, sign, scan and email PDFs
- Single wire to settle for mortgage originators and asset managers
- Automatic match of incoming AOT request to trade inventory and STP creation of assignment trade(s)
- Archival of assignment letters for up to 7 years

## CURRENTLY: LABOR INTENSIVE AND MANUAL

An effective approach to communicating and processing Assignment of Trades (AOT) related information is essential, especially since existing processes are laborious and manual. As a result, firms spend an inordinate amount of time and resources on tasks that can greatly benefit from straight-through processing (STP). This allows firms to focus on risk and exception management, rather than on mundane operational activities.

## FUTURE: A CENTRALIZED TRADE ASSIGNMENT PORTAL

Broadridge is creating an electronic Trade Assignment Portal (TAP), bringing together mortgage originators, asset managers and broker-dealers. Participants will be able to electronically initiate, review, accept and sign AOT requests using TAP's web-based interface. Full STP of AOT related workflow, allows for processing buy-side and sell-side AOTs, including agency generic TBAs, STIP TBAs and specified pool trades.

## BEYOND: REALIZE POTENTIAL OF NETWORK VALUE

With an established clientele of mortgage originators, asset managers and broker-dealers on a connected electronic platform, there is the potential to realize additional operational efficiencies across firms. For example, participants could view and reconcile open TBA commitments, pair-off par and pair-off money between member firms.



## ADDITIONAL TAP BENEFITS

- Realize potential of Network Value for future automation and efficiencies
- System agnostic – integrate with any system
- Customizable – will help determine best fit with existing systems and processes
- Secure web portal – utilizing industry-leading encryption and information security standards
- Round-the-clock support

## CONTACT US

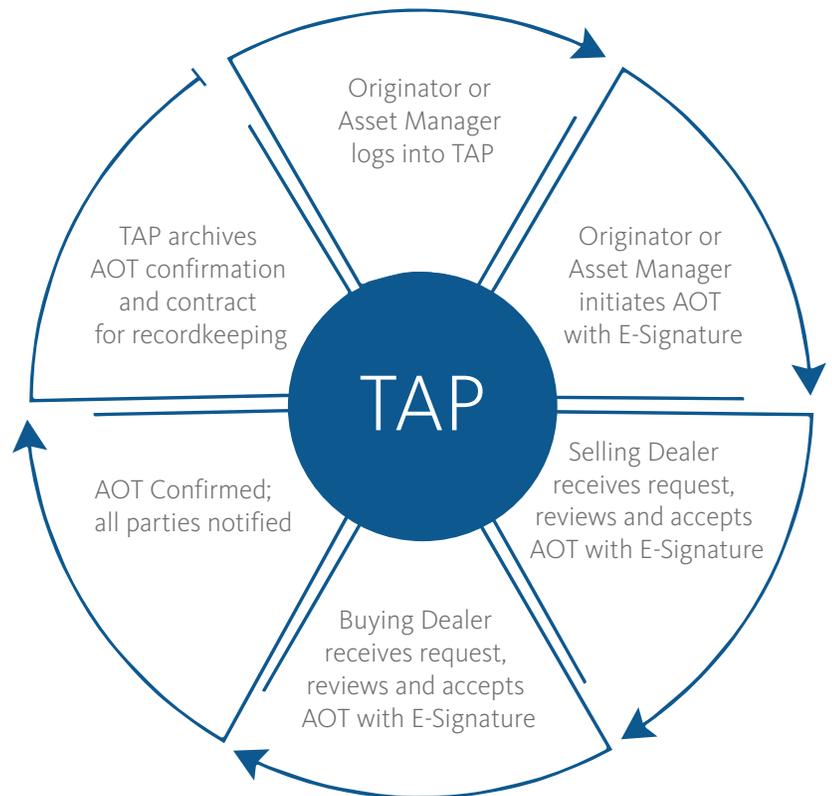
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## TAP WORKFLOW

TAP utilizes an easy and intuitive workflow to allow for fully transparent and efficient communication and processing of AOT related information among participants.



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