MBS Trade Assignment Portal
A smarter, more efficient way to process Assignment of Trades

**CURRENTLY: LABOR INTENSIVE AND MANUAL**
An effective approach to communicating and processing Assignment of Trades (AOT) related information is essential, especially since existing processes are laborious and manual. As a result, firms spend an inordinate amount of time and resources on tasks that can greatly benefit from straight-through processing (STP). This allows firms to focus on risk and exception management, rather than on mundane operational activities.

**FUTURE: A CENTRALIZED TRADE ASSIGNMENT PORTAL**
Broadridge is creating an electronic Trade Assignment Portal (TAP), bringing together mortgage originators, asset managers and broker-dealers. Participants will be able to electronically initiate, review, accept and sign AOT requests using TAP’s web-based interface. Full STP of AOT related workflow, allows for processing buy-side and sell-side AOTs, including agency generic TBAs, STIP TBAs and specified pool trades.

**BEYOND: REALIZE POTENTIAL OF NETWORK VALUE**
With an established clientele of mortgage originators, asset managers and broker-dealers on a connected electronic platform, there is the potential to realize additional operational efficiencies across firms. For example, participants could view and reconcile open TBA commitments, pair-off par and pair-off money between member firms.

**DESIGNED EXCLUSIVELY FOR MORTGAGE ORIGINATORS, ASSET MANAGERS AND BROKER-DEALERS**

- Transparent workflow – know the status of your AOT anytime
- Use of E-Signature – no need to download, sign, scan and email PDFs
- Single wire to settle for mortgage originators and asset managers
- Automatic match of incoming AOT request to trade inventory and STP creation of assignment trade(s)
- Archival of assignment letters for up to 7 years
ADDITIONAL TAP BENEFITS

- Realize potential of Network Value for future automation and efficiencies
- System agnostic – integrate with any system
- Customizable – will help determine best fit with existing systems and processes
- Secure web portal – utilizing industry-leading encryption and information security standards
- Round-the-clock support

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TAP WORKFLOW
TAP utilizes an easy and intuitive workflow to allow for fully transparent and efficient communication and processing of AOT related information among participants.

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Broadridge, a global fintech leader with $4 billion in revenue, provides communications, technology, data and analytics solutions. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth.

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