

Successfully navigate the complexities of becoming a public company



Reduce costs



Stay in compliance



Simplify workflow



Maximize control

STREAMLINE YOUR IPO AT EVERY STEP

Becoming a public company creates exciting opportunities and unprecedented challenges. With so much at stake, it's essential to work with a trusted partner. Turn to Broadridge to simplify your processes. Uniquely positioned at the nexus of issuers, shareholders, banks and broker dealers, we provide powerful, proven services that can save you time, effort and expense. We can guide you from pre-IPO preparation through annual meetings, ongoing compliance and shareholder communications, adding value at every step.

SIMPLIFY FINANCIAL DISCLOSURES

A lot needs to happen before your successful IPO. Broadridge will help you get to market smoothly and quickly. Our exceptional document composition platform helps produce DRS and S-1 documents with industry-leading efficiency. Virtual data room technology keeps your confidential information secure for pre-IPO underwriter due diligence. Our experts will work closely with your IPO team to ensure accuracy, speed and savings.

MAINTAIN COMPLIANCE

Industry-leading software helps ensure your financial reporting is done right. You can use it to process compliance filings and transactional documents in-house. Or, choose to rely on our experienced team to process your 10-Ks, 10-Qs, annual reports and other SEC-required documents on your behalf.

ACHIEVE GOOD GOVERNANCE

Get one-stop access to everything you need to plan and execute successful annual meetings. From proxy composition and distribution to voting tabulation and data analytics, we can help you drive participation and ensure compliance. We also offer innovative Virtual Shareholder Meeting technology that lets you reach more shareholders at a lower cost.

MANAGE AND ENGAGE SHAREHOLDERS

As the undisputed market leader in cost-effective shareholder communication strategies, Broadridge is equipped to deliver data-driven branded experiences and high-impact communications. We maintain records for both beneficial and registered shareholders, utilizing this comprehensive insight to align your communications to your stakeholders and your needs.

EVERYTHING YOU NEED TO MANAGE THE IPO PROCESS

Experience the value, convenience and cost-efficiency of the industry's only true end-to-end solution for corporate disclosure and shareholder communications.

Industry-Leading Technology

Broadridge continually invests in technologies that simplify workflows, enhance productivity and drive down client costs. Benefit from the time-saving efficiencies we bring to IPOs and ongoing disclosure filings through:

XPP Single Source Typeset System

- A single database exports into typeset, HTML, EDGAR, Print PDF, Web PDF and Word
- Multiple compositors can work in the same document simultaneously
- Automatic blacklining, repagination and TOC updates speeds execution

PROfile™ Compliance Software

- Used to make one out of three SEC EDGAR filings
- Proven solution for HTML transactional work
- Natively handles XBRL compliance

Virtual Data Room Technology

- Rapid deployment and advanced functionality
- SSAE16 compliant hosting with zero-down-time network guarantee

Transfer Agent Services

- Only provider that manages both registered and beneficial holders
- Seamless onboarding process
- Intuitive and easy to use shareholder management portal

GAIN COMPREHENSIVE SUPPORT FOR FINANCIAL DISCLOSURE

Going public is just the start. Partner with Broadridge for comprehensive support for your ongoing, evolving compliance and communications needs.

Streamlined SEC Compliance

- 10-Ks and 10-Qs, including XBRL
- Earnings releases and investor presentations
- Capital markets disclosure including for M&A and equity and debt transactions

Leading Shareholder Communication

- Proxy statements and annual reports
- Virtual shareholder meeting services
- Shareholder engagement

EXPERIENCE THE VALUE OF SINGLE SOURCE SIMPLICITY



IPO
Services



Proxy &
Annual
Report
Preparation



Transfer
Agent
Services



Data
Analytics



Annual
Compliance



Proxy
Print
& Mail



Brand &
Shareholder
Engagement



Vote
Process
Management



Annual
Meeting
Services

UNSURPASSED EXPERTISE

As a Broadridge client, you benefit from our five decades of service to the financial services industry. We provide innovative services and technology to many Fortune 500 companies, producing more than 1.8 billion communications pieces each year on behalf of public companies, mutual funds, banks and brokerage firms.

LEARN MORE

Contact your Broadridge representative at +1 (844) 364-4966 or visit us at broadridge.com

Broadridge, a global fintech leader with \$4 billion in revenue, provides communications, technology, data and analytics solutions. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth.

broadridge.com

Ready for Next

Communications
Technology
Data and Analytics

