Broadridge®

Hosting Services

FULLY-HOSTED AND MANAGED TECHNOLOGY INFRASTRUCTURE

Broadridge's Hosting Services offer firms of all sizes and complexity a managed SSAE 16 compliant infrastructure to seamlessly manage their business. Broadridge offers a decentralized investment management platform that is accessible from a standard browser anywhere there is an Internet connection. Our service includes a complete technology infrastructure to run your business and meet investor level compliance and disaster recovery requirements.

Broadridge employs a comprehensive, high-availability solution that offers global load balancing, clustering, data replication, and virtualization to provide maximum system availability and security.

BUSINESS CONTINUITY
Ensuring your business can run 24/7
Broadridge understands the importance of managing the mission critical applications you rely on to run your business. Broadridge's Hosting Services were designed with this in mind and includes the following features:

- **High availability —** Broadridge's infrastructure offers a fully redundant technology environment that manages server back-up and failover on an automated basis should you experience any issues in your production. Broadridge takes great pride in the design and on-going testing that is required to offer your firm a continuous technology infrastructure with 100% up-time.
- **Hardware and software redundancy —** Hosting Services ensure all critical components of Broadridge's infrastructure offer full redundancy to eliminate any single point of failure and ensure your environment is available when you need it.
- **Scalability —** Broadridge's hosted technology employs the latest technology to ensure all components of Hosting Services are clustered, ensuring that you have the needed resources and expected response time to manage your business in real-time.

MANAGED SYSTEM MAINTENANCE AND MONITORING
Complete outsourced IT support
Many firms today do not have the resources, time, or knowledge to perform the regular maintenance needed to ensure optimal performance. Hosted Services offer you a dedicated support staff to manage all of your IT needs.

Broadridge's hosted infrastructure includes all hardware, software and 3rd party applications required to manage your global business.

- **On-going system monitoring and maintenance —** As your system and business requirements change Broadridge manages any on-going technology requirements and maintenance needed to run your business efficiently eliminating the need for internal IT support.
- **Upgrade services —** Broadridge offers scheduled and monitored upgrade services that are completed in a dedicated environment separate from your production environment ensuring that there is no interruption to service during the upgrade process. All upgrades are deployed in a dedicated QA environment where you can test and validate functionality before moving to production.

"HOT-SITE" DISASTER AND RECOVERY BACK-UP
Fully-managed disaster recovery and scheduled back-ups— Broadridge recognizes your firm cannot afford a momentary interruption to the tools you rely on to run your business. Broadridge's "hot-site" disaster recovery solution offers geographically separate client environments to protect against natural disasters, network or power outages, or any unforeseen technology issues. Our multiple data centers offer a complete self-contained mirrored environment so that clients, if needed, can be dynamically migrated to another data center. This "hot-site" disaster and recovery back-up ability ensures that clients can seamlessly resume their business regardless of circumstance.

IT Requirement	Hosted Services
All hardware, software and 3rd party applications required to run your business	X
Mirrored "hot-site" disaster recovery	X
SSAE 16 compliant	X
Production, QA and disaster recovery environments	X
Scheduled maintenance	X
Upgrade services (including Advent Geneva and SunGard VPM)	X
Full technology security and compliance infrastructure	X
Advent Geneva hosting and disaster recovery	X
SunGard VPM hosting and disaster recovery	X
Managed IT infrastructure and support	X

Broadridge, a global fintech leader with over $9 billion* in market capitalization, provides communications, technology, data and analytics solutions. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth. *As of November 2017

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Ready for Next

**Communications
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