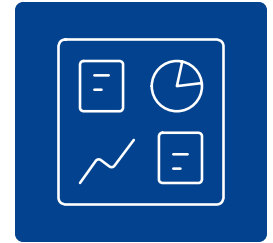


# Global tax reclaims and reporting solutions

**Maximize tax relief. Minimize risk. Transform tax operations.** Broadridge and Xceptor have partnered to deliver a powerful, end-to-end solution that unites Broadridge's industry leading Global Asset Servicing Solution with Xceptor's intelligent tax automation technology. Together, we are enabling financial institutions to modernize and digitize post-trade operations, reduce risk, and enhance global compliance across markets through a single, unified framework.



Our system-agnostic solutions identify relief-at-source (RAS) and tax reclaim opportunities and event eligibility across the asset servicing lifecycle. Our solutions also deliver market alerts providing jurisdictional updates, tax documentation requirements, and actionable insights through comprehensive dashboards and reporting.

Powered through our partnership with Xceptor, Broadridge brings together unmatched data, documentation, and digitization capabilities to simplify the most complex tax workflows. Together, we provide a comprehensive global tax lifecycle solution—built for speed, accuracy, compliance, and a superior client experience.

## Key benefits

### Maximize RAS & reclaim potential

Automatically identify and capture relief-at-source and tax reclaim opportunities.

### Mitigate compliance risk

Centralize tax documentation and leverage tax regulatory and market updates.

### Improve efficiency

Replace manual effort and spreadsheets with intelligent, straight-through processing.

### Enhanced visibility & control

Track at-risk, aging and pending events through dashboards, workflows, and exception monitoring.

### Stay ahead of regulatory change

Access real-time global tax market guides, alerts, and in-platform updates supporting new regimes like MiKaDiv (effective 2027), and EU FASTER (effective 2030).

## Addressing industry challenges

### Resource-intensive manual processes

Automated rule logic eligibility analysis, and integrated updates reduce maintenance effort, manual intervention, and operational overhead.

### Complex eligibility and reclaim processes

Integrated, end-to-end tracking enhances transparency and status across all jurisdictions.

### Mitigate operational impact

An automated, integrated process reduces the risk of operational or financial loss resulting from missed tax reclaim opportunities.

### Rising compliance burden

Pre-populate, electronic documentation improves oversight and auditability.

## Core features

- Global tax market announcements and regulatory guides
- Relief-at-source, tax reclaim, and quick refund workflow automation
- Integrated withholding tax tables
- System-agnostic integration
- Centralized tax documentation repository with pre-population and solicitation
- Comprehensive dashboards and reporting
- Electronic filing support (MiKaDiv, EU FASTER, and more)

## Why Broadridge?

Broadridge completes the asset servicing lifecycle with a fully integrated global solution for withholding tax, relief-at-source and tax reclaims, seamlessly spanning corporate actions and income processing.

By combining Broadridge's tax and asset servicing expertise with Xceptor's intelligent automation, we enable firms to:

- Streamline tax operations through intelligent automation and digitization
- Strengthen compliance and control frameworks

Transform your tax operations and unlock the power of intelligent automation.

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Broadridge Financial Solutions (NYSE: BR) is a global technology leader with trusted expertise and transformative technology to help the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients – driving operational resiliency, elevating business performance, and transforming investor experiences.

Our technology and operations platforms process and generate over 7 billion communications per year and underpin the daily average trading of over \$15 trillion of equities and fixed income trades. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 15,000 associates in 21 countries.

For more information about us, please visit [Broadridge.com](https://www.broadridge.com)

