

# General Ledger Reconciliation Tool

## **BROADRIDGE GENERAL LEDGER(GL) RECONCILIATION TOOL BENEFITS:**

- Streamline your daily trial balance trade and position workflows by quickly resolving exceptions at the event level.
- Increase operational efficiencies by eliminating the need for costly reserves and haircuts.
- Save overhead costs and reduce time spent on manual investigations.
- Increase transparency and reduce risk across your daily product control, operational and financial reporting obligations.
- Reduce capital charge risks associated with discrepancies in the trial balance.

## **ENHANCE YOUR FINANCIAL CONTROL**

With evolving regulatory requirements and increased volatility, financial firms face new complexities across their product control.

Firms must be able to meet obligations set by regulators and auditors to demonstrate the financial integrity of their operations, identify risks, and quickly resolve any discrepancies within their GL accounts.

By leveraging automated solutions within critical activities, firms can now enhance operational efficiencies, manage risks and limit manual tasks that lead to errors within key control processes.



## **GAIN EFFICIENCIES WITH AN IMPROVED CLEARING AND SETTLEMENT SYSTEM FOR SUBSTANTIATION**

**Broadridge's General Ledger Reconciliation Tool** is an Event Level Trial Balance Substantiation Solution within Broadridge's Fixed Income Post-Trade Processing Engine, *impact*. This offering allows users to substantiate trial balance down to the lowest level (business event level), so they can gain necessary insights regarding calculation issues, such as which event caused differences between an underlying trade and the user's prior position.

## ENHANCE OPERATIONAL PROCESSES WITH THE GENERAL LEDGER RECONCILIATION TOOL (GLRT)

### The General Ledger Reconciliation Tool (GLRT) Dashboard

The GLRT dashboard allows you to gain an analytical view of every account through the front end platform, eliminating the need to print reports.

General Ledger Recon Tool

Request Completed - 500 Records Received - at 16:50:57

GL Recon | Recon Reports | GL Static Data

GL Accounts Summary | GL Account Reconciliation | GL Detail Recon

Revise Account Code | Account Recon | Show All GL Accounts

Rows: 50

Entity	GL Account	Currency	Profit Center	GL Account Name	Current Balance	Costlot Balance	Month to Date Debit	Month to Date Credit	Month to Date Net	Account Id (Type)
JPMB	10000020	USD		CASH-IVV: P100	0.00		0.00	0.00	0.00	
JPMB	10000021	USD		CASH-IV3: M5R	0.00		0.00	0.00	0.00	
JPMB	10000022	USD		CASH-IMG: T108 FED	0.00		0.00	0.00	0.00	
JPMB	10000023	USD		CASH-IMH: U102 FED	0.00		0.00	0.00	0.00	
JPMB	10000024	USD		CASH-PAM: REG 9:10	0.00		0.00	0.00	0.00	
JPMB	10000025	USD		CASH-IMA:BOIX-UN	0.00		0.00	0.00	0.00	
JPMB	10000028	USD		CASH-IVT	-617,316,163.52		222,407,597.15	839,723,760.67	-617,316,163.52	
JPMB	10000029	USD		CASH-IVM	0.00		0.00	0.00	0.00	
JPMB	10000013	USD		CASH-OTC #2807	-49,361,832.56		3,027,048.61	52,388,881.17	-49,361,832.56	
JPMB	10000026	USD		CASH-OTC #8871	0.00		0.00	0.00	0.00	
JPMB	10000027	USD		CASH-OTC #8869	-60,718,280.59		0.00	60,718,280.59	-60,718,280.59	
JPMB	10000030	USD		CASH-OTC#4102	0.00		0.00	0.00	0.00	
JPMB	10000017	USD		CASH-BONY TRI-PARTY	0.00		0.00	0.00	0.00	
JPMB	10000ARS	USD		CASH-EUROCLEAR ARS	0.00		0.00	0.00	0.00	
JPMB	10000AUD	USD		CASH-EUROCLEAR AUD	0.00		0.00	0.00	0.00	
JPMB	10000BRL	USD		CASH-EUROCLEAR BRL	0.00		0.00	0.00	0.00	
JPMB	10000CHF	USD		CASH-EUROCLEAR CHF	0.00		0.00	0.00	0.00	

GL Recon | Recon Reports | GL Static Data

GL Accounts Summary | GL Account Reconciliation | GL Detail Recon

### GL Account Summary Details

Entity : JPMB    GL Account : 10101020    Currency : USD    Profit Center :    GL Account Name : TETING 11  
Current Balance : 0.00    Month To Date Debit : 330,168.33    Month To Date Credit : 330,168.33    Month To Date Net : 0.00  
Account Type : SD B/S BUY

### Cost Lot Details

GL Net Balance : 0.00    Cost Lot Accumulated Amount : 290,619.73    Difference Amount : 290,619.73

Detail Recon | Show All Reference Ids

Group	Name or ID	Amount	CUSIP	Comments
202111080000011 (1)	<input type="checkbox"/>			
<input checked="" type="checkbox"/>	SD B/S B...	5,784.72	98999AJ...	No event cod...
> 202111080000012 (1)	<input type="checkbox"/>			
> 202111080000013 (1)	<input type="checkbox"/>			
> 202111090000041 (1)	<input type="checkbox"/>			
> 202111090000059 (1)	<input type="checkbox"/>			

**CONTACT US:** For additional information, please contact your Broadridge Account Manager or visit our website [broadridge.com](https://broadridge.com).

Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

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