

# Leverage ESG scoring to help drive decisions.



Find out how investments stack up against environmental, social, and governance (ESG) factors. With the Fiduciary Focus Toolkit™ from Broadridge Fi360 Solutions, you can search for and monitor funds based on ESG ratings.

## **FLEXIBILITY THAT MATTERS**

Individual investors are increasingly placing a high value on ESG. Therefore, you can choose to screen and monitor investments solely based on ESG scores.

Or choose to overlay ESG ratings after screening for other metrics like returns, alpha, or the Fi360 Fiduciary Score® - a transparent, objective, and easy-to-understand quantitative investment rating system. This is especially helpful if you are advising retirement plans since a prudent investment selection process is used first and then the ESG ratings drive home the decision.

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## **WITH THE FIDUCIARY FOCUS TOOLKIT'S ESG RATING AND MONITORING CAPABILITY, YOU CAN:**

- Score portfolios for overall ESG factors or at the individual environmental, social, and governance levels
- Leverage the ESG scoring as standalone screening criteria or in conjunction with pecuniary metrics so a prudent due diligence process comes first
- Isolate investments within portfolios that score poorly on ESG factors
- Find replacement investments that have positive ESG factors that also meet fiduciary standards
- Construct portfolios that meet fiduciary requirements and express the ESG preferences of clients
- Establish ongoing ESG investment monitoring

## DIFFERENTIATE WITH ESG

### Advisors working with individuals:

Guide investment selection and proactively monitor those investments based on what matters most to your clients. You will strengthen relationships by making ESG a part of the conversation.

### Advisors working with retirement plans:

Remain compliant by screening for pecuniary factors first and then drilling those results down using ESG ratings. Plan sponsors and investment committees will appreciate the ESG difference you can bring to the plan.

	NAME	TICKER	PEER GROUP	SCORE	AVG (1 YR)	OWL ESG ↑	OWLE	OWLS	OWL G	RTN RANK (3 YR)	RTN RANK (5 YR)	NET EXP RATIO RANK	MGR TENURE
<input type="checkbox"/>	Janus Henderson Contrarian N	JCNNX	Mid-Cap Blend	0	0	3.5	3.0	3.5	3.5	1 (23.20%)	3 (20.02%)	26 (0.58%)	4.10
<input type="checkbox"/>	BlackRock Advantage SMID Cap Inv A	MDSPX	Mid-Cap Blend	22	31	3.5	3.5	3.5	4.0	23 (14.72%)	26 (14.32%)	33 (0.73%)	3.60
<input type="checkbox"/>	Xtrackers Russell US Multifactor ETF	DEUS	Mid-Cap Blend	44	31	3.5	3.0	3.0	3.5	45 (12.74%)	50 (12.83%)	9 (0.17%)	5.70
<input type="checkbox"/>	BlackRock Advantage SMID Cap K	MKSPX	Mid-Cap Blend	22	28	3.5	3.5	3.5	4.0	19 (15.03%)	22 (14.63%)	20 (0.43%)	3.60
<input type="checkbox"/>	Janus Henderson Contrarian D	JACNX	Mid-Cap Blend	0	0	3.5	3.0	3.5	3.5	2 (23.03%)	3 (19.89%)	33 (0.72%)	4.10
<input type="checkbox"/>	Janus Henderson Contrarian T	JSVAX	Mid-Cap Blend	0	0	3.5	3.0	3.5	3.5	2 (22.91%)	4 (19.78%)	40 (0.82%)	4.10
<input type="checkbox"/>	Invesco Russell 1000 Equal Weight ETF	EQAL	Mid-Cap Blend	0	6	3.5	3.0	3.0	3.5	28 (14.01%)	35 (13.49%)	12 (0.20%)	6.60

Rank investments using OWL Analytics' ESG Consensus Score. The easy zero to five scale, with five being the most favorable, helps to drive decisions.

See scores for overall ESG factors or at the individual environmental, social, and governance levels.

## GET TO KNOW THE SOURCE

Because ESG ratings are known to be subjective, Broadridge Fi360 Solutions sources the data from OWL Analytics – an independent, unbiased ESG research company. OWL Analytics uses the wisdom of the world's leading ESG research and ratings vendors to create the "ESG Consensus Score."

To ensure objectivity and quality, hundreds of sources of ESG data and research are aggregated. OWL Analytics then identifies which ESG factors each source thinks relevant for each industry and creates consensus ESG factor models. Companies are then rated based on the consensus model of its industry.

The Fiduciary Focus Toolkit gets updated ESG Consensus Scores monthly, so you can stay ahead of other ESG rating systems, giving you confidence in the numbers.

## CONTACT US FOR MORE INFORMATION

The Fiduciary Focus Toolkit also includes flexible advisor controls, elegant reporting, proactive client monitoring, thorough investment research, smooth client workflows, and more. To learn how you can leverage the built-in best practices and ratings of the Toolkit, call 866.390.5080 or email [fi360sales@broadridge.com](mailto:fi360sales@broadridge.com).

Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with over \$4.5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance and communications to enable better financial lives. We deliver technology-driven solutions to banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. In addition, Broadridge's technology and operations platforms underpin the daily trading of on average more than U.S. \$10 trillion of equities, fixed income and other securities globally.

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