

Optimise fund data and meet regulatory requirements with one global platform

We simplify the challenges of the investment industry by delivering client-centric services and products that address the ever-changing requirements of regulatory obligation. With extensive data aggregation and dissemination, document production and embeddable widgets, we provide a scalable, reliable, end-to-end solution to meet the ever-evolving fund data and regulatory demands of Europe and other jurisdictions.

We manage, validate and distribute fund data and documents for many of the leading global fund groups. Let us support you with the transformation of your data aggregation, analysis and distribution from complex processes to automated simplicity.

We provide a comprehensive, data-driven suite of industry-leading regulatory reporting tools serving both the European retail and institutional markets. Leverage our expertise to future-proof your business for an ever-changing regulatory landscape.

Our fund data and regulatory services are supported by a highly configurable and agile suite of digital tools for fund research, comparison and analysis with data visualisation and documentation support that brings fund data to life for your audiences.

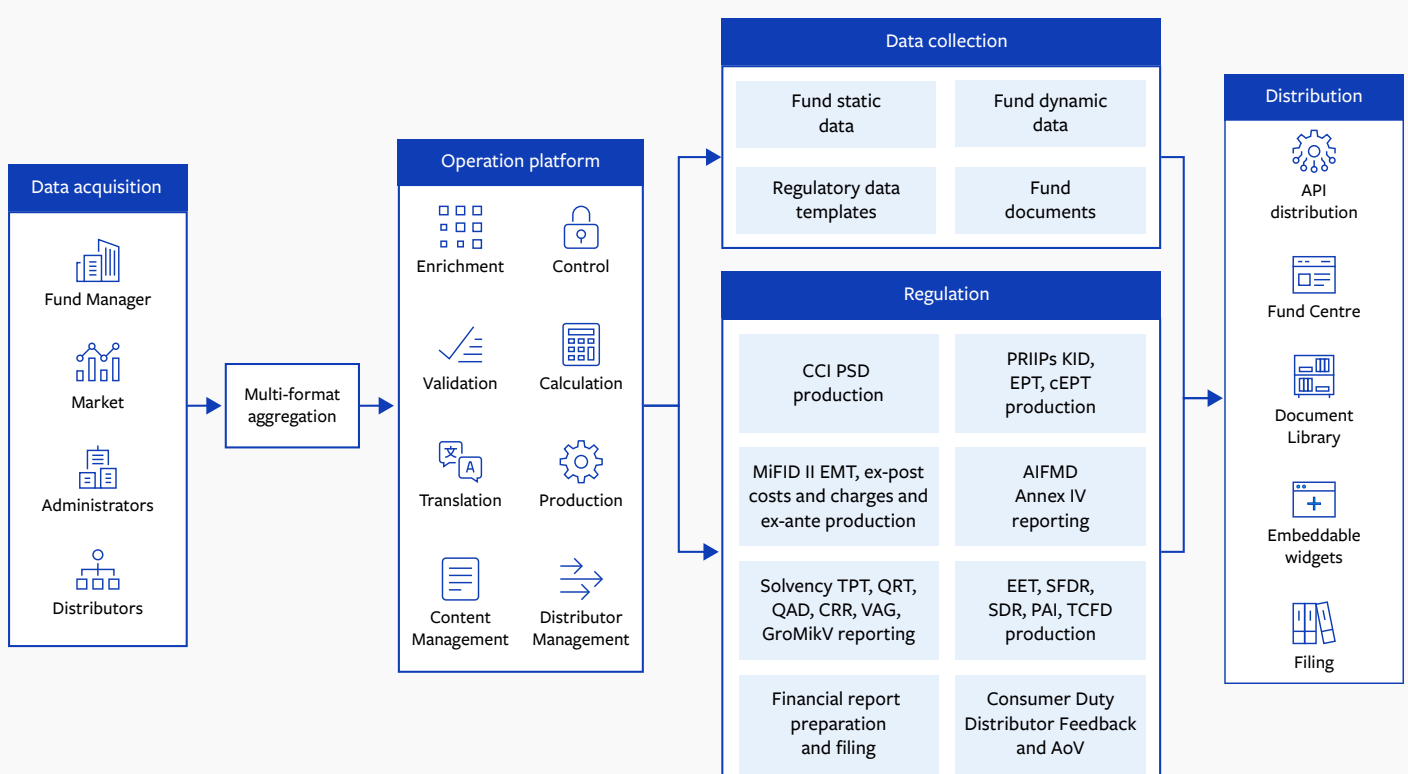
Experience the Broadridge advantage

Leverage a single platform that distributes fund data for 550+ fund managers and provides solutions for CCI, PRIIPs, UCITS, MiFID II, AIFMD, Solvency II, SDR, SFDR, EET, Consumer Duty, and factsheets, all supported with translation services. Active engagement with FCA working groups and industry forums to stay ahead of regulatory developments.

- 20 years of delivering client-centric regulatory solutions
- Designed to the highest industry standards for resilience and security
- Supported by experienced, specialised operations teams
- Accountable, responsive Client Service teams based in: Ireland, the United Kingdom, France, Italy, Germany, the Czech Republic, Poland, Sweden, and Romania

A single vendor approach that eliminates fragmentation, ensuring consistency and auditability across your entire disclosure footprint.

FUND COMMUNICATION SOLUTIONS PLATFORM



Our Key Solutions

Consumer Composite Investments

A complete solution supporting the creation, validation, delivery and distribution of FCA-compliant Product Summary Documents, with flexible digital and PDF outputs.

PRIIPs KID and EPT Production and Distribution

End-to-end service for PRIIPs KIDs and EPTs, including production, storage, translation, filing and distribution.

Solvency II Reporting

Our Solvency II solution covers all aspects of the process, from data management to composition and distribution of TPTs, QRT, Lloyds QAD, CRR and other complementary reporting.

Global Fund Data and Document Dissemination

The industry's gateway to an established data and regulatory distribution network providing a channel for the collection and distribution of fund data and documents.

European ESG Reporting and Disclosures

Complete support for all aspects of the composition, maintenance and distribution of SDR and SFDR documents and EETs.

MiFID II EMT, Ex-Ante and Ex-Post Cost Disclosures

Extensive experience in the production, management and distribution of MiFID II templates, including cost and charges calculations.

AIFMD

Fully comprehensive Annex IV solution that integrates with your data sources, performs calculations, validates cross-periods and files with the relevant National Competent Authorities.

Contact us

For more information, please connect with our sales team:

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FCS_Sales@Broadridge.com

Distributor Feedback service for Consumer Duty

Our service for both distributors and manufacturers removes the operational overheads of managing, collating and sharing fund sales information.

Translation and Language Services

Experienced in-house translators help you meet your global fund and financial information translation needs covering more than 35 languages.

Embeddable Widgets

Providing investors with the latest fund data in an engaging and interactive way, that is vital in today's market.

Factsheet Production and Distribution

Produce and distribute branded Factsheets, as PDFs or digitally interactive web pages, with ease and efficiency.

Financial Report Preparation and Filing

End-to-end management of your financial reports from template preparation to filing helps you gain economies of scale in quality, speed and reliability.

Key terms

AIFMD: Alternative Investment Fund Managers Directive

API: Application Programming Interface

CCI: Consumer Composite Investments

CRR: Capital Requirements Regulation

cEPT: Comfort European ESG Template

EET: European ESG Template

EPT: European PRIIPs Template

FCA: Financial Conduct Authority

GroMIKV: Groß- und Millionenkreditverordnung

KID: Key Information Documents

KIID: Key Investor Information Document

MiFID: Markets in Financial Instruments Directive

PAI: Principal Adverse Impacts

PRIIPs: Packaged Retail Investment and Insurance Products

QAD: Quarterly Asset Data

QRT: Quantitative Reporting Templates

SDR: Sustainability Disclosure Requirements

SFDR: Sustainable Finance Disclosure Regulation

TCFD: Taskforce on Climate-Related Financial Disclosures

TPT: Tripartite Template

UCITS: Undertakings for the Collective Investment in Transferable Securities

VAG: Versicherungsaufsichtsgesetz

Broadridge Financial Solutions (NYSE: BR) is a global technology leader with trusted expertise and transformative technology, helping clients and the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients—driving operational resiliency, elevating business performance, and transforming investor experiences.

Our technology and operations platforms process and generate over 7 billion communications annually and underpin the daily average trading of over \$15 trillion in tokenized and traditional securities globally. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 15,000 associates in 21 countries.

For more information about us, please visit

[Broadridge.com](https://www.broadridge.com)

