

Enhance investor engagement with validated data, documents, and reports

Broadridge connects data, documents, and regulatory reporting across the life cycle of funds. Seize every operation advantage with our solutions and expertise so you can accelerate workflows while reducing costs and risks.

Unlike solutions that scrape information from third-party sources, Broadridge gathers and validates data directly from asset managers so you can share it with advisors and retail investors. The low-maintenance pipeline of real-time resources takes the complexity out of compliance and decision-making.

Grow without limits

Best of all, we're equipped to evolve. New funds launch every day, and you'll always be able to quickly add them to your platform. Our unique combination of proprietary technology and support empowers you to drive sustainable growth.

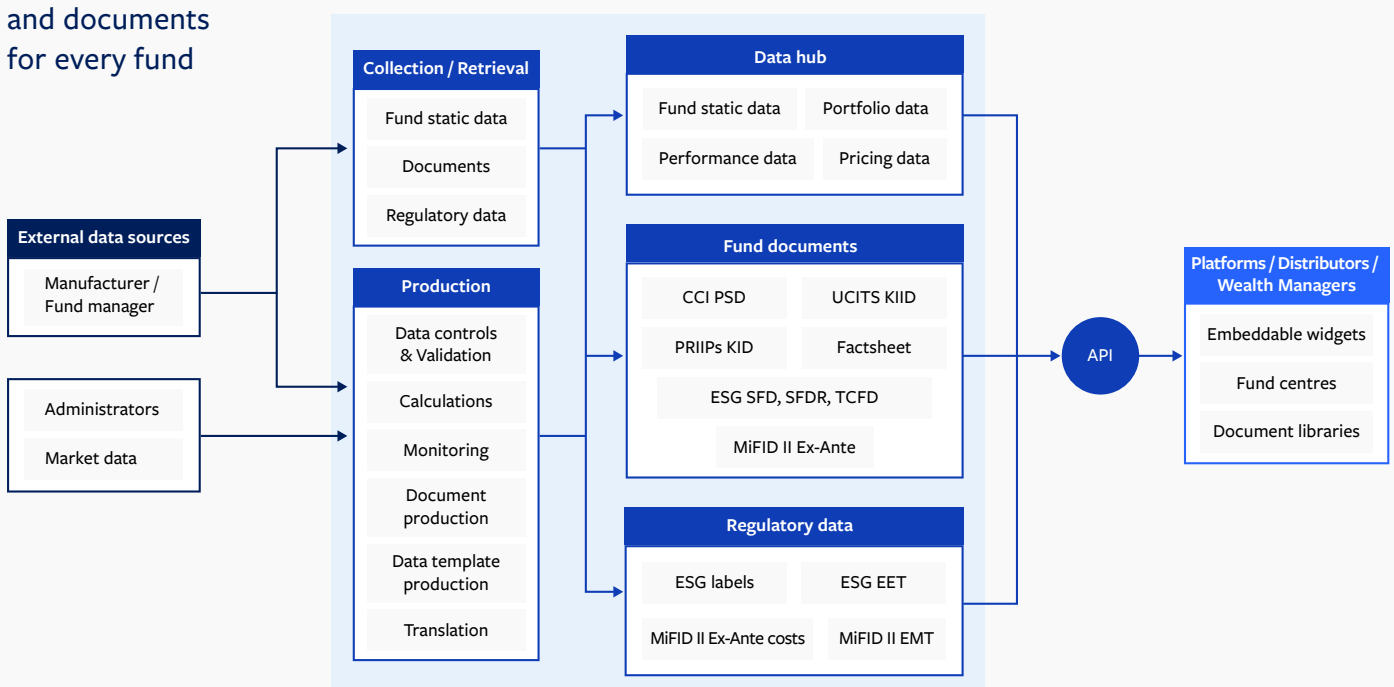
Broadridge Fund Communications Solutions: End-to-end resources optimised for distributors

- Direct connections to fund groups' data and documents
- Real-time EMT data for 100,000+ share classes
- Flexible APIs that put timely information at users' fingertips
- Daily data and document update capabilities
- MiFID II Ex-Ante and Ex-Post cost disclosure calculator
- Consumer Duty feedback collection and delivery
- Detailed ESG documentation from asset managers
- Streamlined implementation and activation

Easily create disclosures, calculate costs, and streamline feedback processes.

Validated data and documents for every fund

Broadridge



Connect data, documents, and regulatory reporting

Data and Documents

Get fast, secure, and seamless access to a universe of high-quality fund data. Integrate with a trusted API that powers some of the largest distributors in the UK to efficiently manage and distribute a wide range of validated information, including static data, portfolio data, yield data, MiFID II data, fund ratings, performance figures, and fund documents.

Empower clients to make informed decisions with detailed ESG documentation for asset managers, including SDR, SFDR, and TCFD reporting materials. We host a large number of ESG data points for the provision of EET data and SDR label information as well.

MiFID II Ex-Ante and Ex-Post cost disclosures

We produce hundreds of thousands of Ex-Post statements every year. Leverage our extensive experience in creating, managing, and distributing MiFID II data and disclosures — including cost and charge calculations. Our Ex-Ante calculation tool enables advisors to create Ex-Ante cost illustrations with ease, while a highly scalable statement engine empowers distributors to produce customised and branded MiFID Ex-Post disclosures.

With our wide-ranging EMT calculation expertise and data coverage seamlessly integrated into your platform, you can be confident in the accuracy of your MiFID Ex-Ante and MiFID Ex-Post calculations and disclosures.

Key Terms

API: Application Programming Interface

CCI: UK Consumer Composite Investments regulations

DFT: Distributor Feedback Template

EET: European ESG Template

EMT: European MiFID Template

ESG: Environmental, Social, and Governance

KID: Key Information Documents

KIID: Key Investor Information Document

MiFID: Markets in Financial Instruments Directive

PRIIPS: Packaged Retail Investment and Insurance Products regulation

SDR: Sustainability Disclosure Requirements

SFDR: Sustainable Finance Disclosure Regulation

SFTP: Secure File Transfer Protocol

TCFD: Task Force on Climate-Related Financial Disclosures

UCITS: Undertakings for the Collective Investment in Transferable Securities

Distributor feedback service for Consumer Duty

Remove the operational overhead of managing, collating, and sharing fund sales information. Our service gathers and delivers the required feedback data in multiple formats, then delivers it to your partners.

Quantitative data is collected via SFTP, APIs, or email, whereas qualitative feedback is submitted and managed through a Broadridge-controlled portal. We pre-process the data into the Distributor Feedback Template (DFT) format and deliver the raw DFT data and analytical output to manufacturers.

Embeddable widgets

Embed widgets powered by the latest fund data to provide the engaging, interactive materials vital to positive customer experiences. Leverage investment insights to create investor-focused content, such as fund research centres, document libraries, digital factsheets, performance charting, fund comparison tools, and portfolio x-rays. Our rapid deployments make it easy to consistently create branded materials that meet responsive design, accessibility, and multi-language requirements.

Experience the Broadridge advantage

Collect, validate, and distribute fund data for 550+ fund managers and provide solutions for PRIIPs, MiFID II, Solvency II, UCITS, SDR, SFDR, EET, Consumer Duty, and factsheets, all supported with translation services.

- 20 years of delivering client-centric regulatory solutions
- Designed to the highest industry standards for resilience and security
- Supported by experienced, specialised operations teams
- Accountable, responsive Client Service teams based in: Ireland, the United Kingdom, France, Italy, Germany, the Czech Republic, Poland, Sweden, and Romania

Contact us

For more information, please connect with our sales team:

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Broadridge Financial Solutions (NYSE: BR) is a global technology leader with trusted expertise and transformative technology to help the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients – driving operational resiliency, elevating business performance, and transforming investor experiences.

Our technology and operations platforms process and generate over 7 billion communications per year and underpin the daily average trading of over \$15 trillion of equities and fixed income trades. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 15,000 associates in 21 countries.

Broadridge.com

