



[MyService.Broadridge.com](https://www.broadridge.com/my-service)

Canadian Reference Guide





## MyService.Broadridge.com

MyService.Broadridge.com is a free-of-charge, online portal for Issuers, Transfer Agents and Proxy Solicitors. It provides online access to material and delivery requirements, mailing status, proxy voting and other services that support your proxy and investor communication needs.

Add MyService.Broadridge.com to your Favourites List.

Using MyService.Broadridge.com, you can:

- View early search and record date material quantities for Broadridge mailings
- View proxy job information
- View material delivery status
- View voting results tabulated to date and any pending votes that have not yet been sent to the tabulator
- View Omnibus Reports
- Update Agent contact details

If you have any questions regarding MyService.Broadridge.com, contact your Broadridge account representative or email [Client.Relations@Broadridge.com](mailto:Client.Relations@Broadridge.com).

## What's New

Your client service representative and sales representative will display under My Broadridge Team on the Home Page.

 **My Broadridge Team**

- **Client Representative**  
Client Contact  
905-507-5100  
Client.Contact@Broadridge.com
- **Sales Representative**  
Sales Contact  
905-507-5100  
Sales.Contact@Broadridge.com
- **Issuer Services Client Management Team**

The Material Selection for the mailing job will display as part of the Job Summary. You can verify that the mailing job is being delivered to the all your beneficial securityholders or the segment you instructed.

JOB LISTING
JOB SUMMARY
VOTING
APPOINTEE VOTING
MATERIAL ORDER QUANTITY

**Job Data**

<b>Job Number</b> Z68313	<b>Vote Cutoff Date</b> 7/18/2016
<b>Job Description</b> Special Meeting (07-20-16)	<b>Material Selection</b> All and Special Materials
Notice and Access Mailing	<b>Received Date</b> 6/16/2016
<b>Order Quantity</b> 6,308	<b>Last Updated</b> 8/9/2016 9:33:05 AM (U.S. EASTERN TIME)
<b>Job Type</b> Canadian Beneficial	<b>NOBOs</b> Mailing by Broadridge
<b>Job Status</b> Project Invoiced [?]	<b>OBOs</b> Mailing by Broadridge
<b>Shareholders</b> 7,010	<b>N &amp; A Stratification</b> No
<b>Meeting Date</b> 7/20/2016	<b>N &amp; A Collecting Material Requests</b> Yes
<b>Record Date</b> 6/10/2016	<b>N &amp; A Fulfilling Material Requests</b> Yes
<b>Mail Date</b> 6/21/2016	

**Displays: All Materials, All and Special Materials or All Shareholders\***

\*Material Selection does not apply to U.S. reporting financial intermediaries. Results will always be based on mailing to 100% of beneficial securityholders according to U.S. regulatory requirements.

# MyService.Broadridge.com – Homepage

To set up your personal logon details:

Forward an e-mail to **[Client.Relations@Broadridge.com](mailto:Client.Relations@Broadridge.com)** to request an account set up:

- Provide your CUSIP, email address and phone number
- You must advise Broadridge to deactivate accounts for associates no longer with your company
- Group distribution lists within your company will not be set up

Passwords:

A temporary password will be sent to the email address provided.

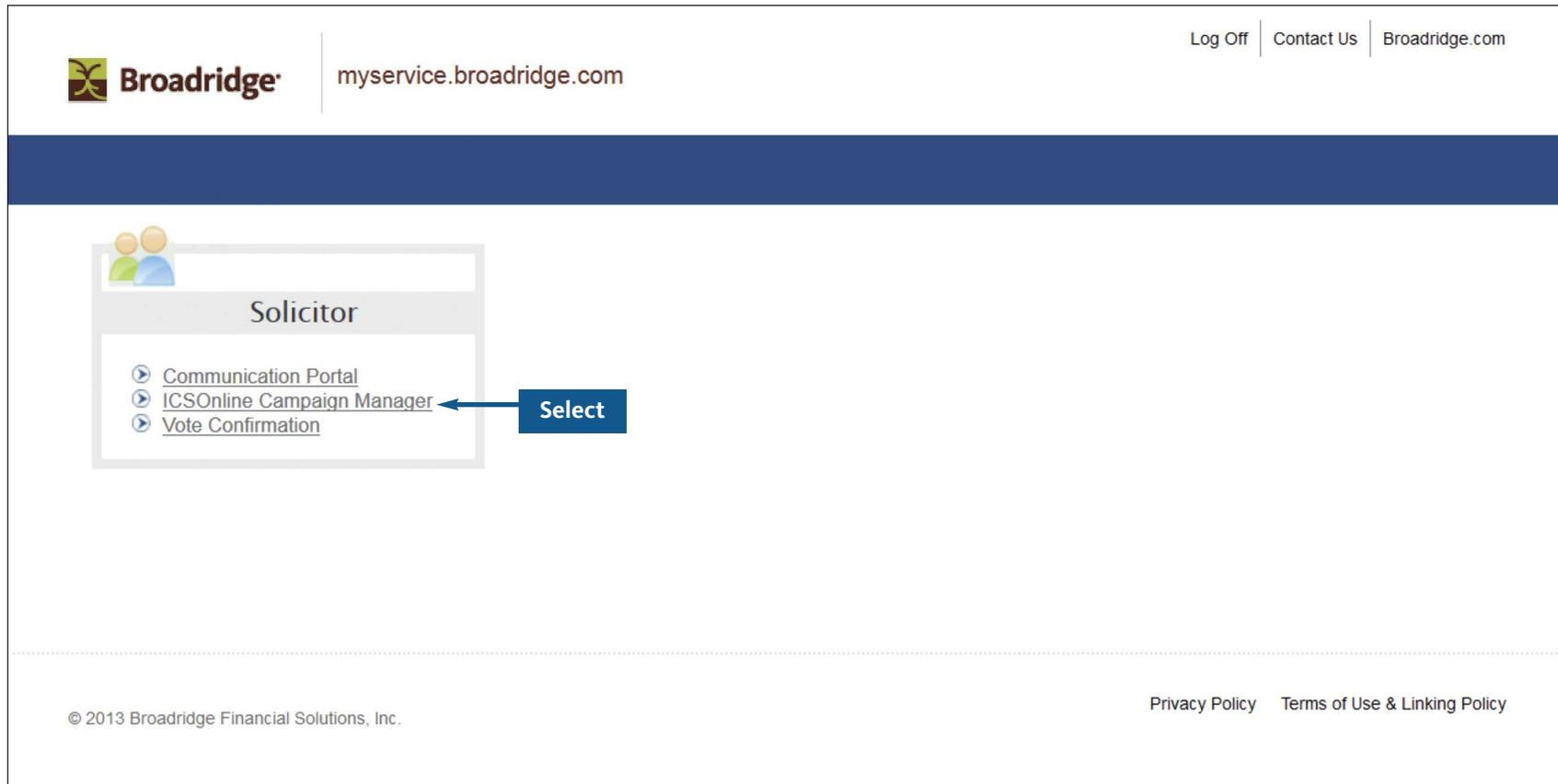
You will be prompted to create your personal password.

Password resets or locked accounts – click on the link on the home page “Forgot Password?” and follow the instructions.

The screenshot shows the login page for MyService.Broadridge.com. At the top left is the Broadridge logo and the URL myservice.broadridge.com. At the top right are links for 'Contact Us' and 'Broadridge.com'. The main content area features a 'WELCOME' heading, followed by two input fields: 'E-mail Address / ID:' and 'Password:'. A blue 'Login' button is positioned below these fields, with a 'Forgot Password?' link underneath. Two blue callout boxes with white text and arrows point to the input fields: the first says 'Enter your email address' and the second says 'Enter the Temporary Password emailed to you. A new password will be requested.' Below the login form, there is a disclaimer: 'In order to login you will need your E-mail Address / ID and Password. Issuer / Mutual Fund enter your E-mail address. Bank / Broker enter your ID. If you are a first-time user and do not have a password, you will need to have an online profile created. For assistance, please [contact us](#).' To the right of this disclaimer is another disclaimer: 'Any password provided to the company for the purpose of accessing this site, shall only be disclosed by the company to its employees authorized by the company to use this website. The entitled company shall be responsible for the use of the website and services by all users of company passwords.' At the bottom left is the Norton Secured logo. At the bottom right, a green box contains the text: 'Please contact your Broadridge representative to set up your ID and password'.

# MyService.Broadridge.com – Homepage

Select ICSONline Campaign Manager after you log in.



# ICS Online Landing Page – Quick Links

The landing page will default to your CUSIP or the first CUSIP if you manage multiple companies and/or CUSIP numbers.

The screenshot shows the Broadridge ICS Online Campaign Manager interface. At the top, the Broadridge logo and 'ICS Online Campaign Manager' are displayed. The user's CUSIP is '234567 - CDN INTERNET TEST'. A search bar is available for CUSIP, Job Number, or Issuer Name. A navigation menu includes App Menu, Home, Job Information, Information, Tools, Updates & Requests, Reports, Administration, and Vote Confirmation. The main content area is titled 'Your Current Jobs' and contains a table with columns for Description, Record Date, and other details. Three callout boxes highlight key features: 'NOBO List Forms, Edelivery Insert, and Web Hosting Information' points to the top right; 'Your Client Service and Sales Contact listed for your convenience' points to the 'My Broadridge Team' section; and 'Quick Access to Tools' points to the 'Tools' section. The footer contains copyright information for 2016 Broadridge Financial Solutions, Inc.

Description	Record Date		
Annual Meeting (02-28-17) - Canadian Beneficial	03/24/2016	Contest Mailing [?]	F78596
Annual Meeting (02-28-17) - Beneficial Mailing	03/24/2016	No Positions Reporting [?]	F78596
Annual and Special Meeting (02-27-17) - Canadian Beneficial	03/23/2016		
Special Meeting (02-27-17) - Beneficial Mailing	03/23/2016		
Special Meeting (03-27-17) - Canadian Beneficial	03/22/2016	Invoice Inquiry [?]	Z67646
Special Meeting (03-27-17) - Beneficial Mailing	03/22/2016	No Positions Reporting [?]	Z67645

**NOBO List Forms, Edelivery Insert, and Web Hosting Information**

- Canadian NOBO List
- Electronic Voting Insert
- Web Hosting Services

**Your Client Service and Sales Contact listed for your convenience**

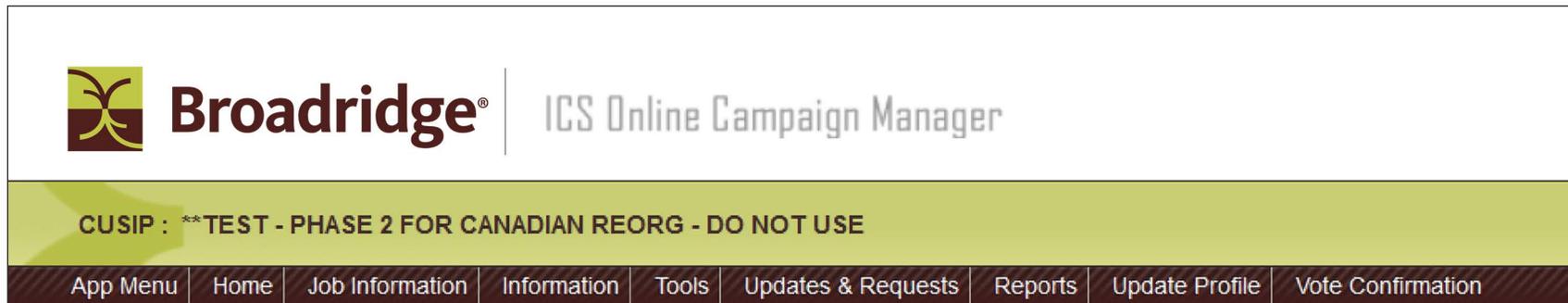
**My Broadridge Team**

- Client Representative**  
Client Contact  
905-507-5100  
Client.Contact@Broadridge.com
- Sales Representative**  
Sales Contact  
905-507-5100  
Sales.Contact@Broadridge.com
- Issuer Services Client Management Team

**Quick Access to Tools**

- Proxy Timeline [?]
- Postage Calculator [?]
- View Omnibus Reports [?]
- View Bank/Broker Contact Information [?]
- Issuer Guide - Release 16 [?]

## Landing Page – Tabs



**App Menu** – Takes you back to the list of accessible applications. This is where you clicked on ICSONline Campaign Manager

**Home** – Takes you back to the Home screen for your CUSIP

**Job Information** – Provides you with the existing jobs for your CUSIP

**Information** – Directory of Broadridge contacts, our certifications, and delivery instructions for Broadridge's Canada and U.S. processing centres

**Tools** – Issuer Guide and other useful tools

**Update and Requests** – Update your location or phone numbers

**Reports** – Link to the Omnibus Reports, and Client Reports for Notice and Access fulfillment.

**Update Profile** – Update your profile

**Vote Confirmation** – Not yet available

# Landing Page – CUSIP/Issuer Name

You can search by CUSIP or Issuer Name.

**Broadridge** | ICS Online Campaign Manager

Glossary Contact us

CUSIP : 234567 - CDN INTERNET TEST

Search: CUSIP / Job Number / Issuer Name GO Search: CUSIP / Job Number / Issuer Name GO

App Menu Home Job Information Information Tools Updates & Requests Reports Administration Vote Confirmation

### Your Current Jobs

**Current jobs set up for CUSIP you entered**

Description	Record Date	Job Status	Job #
Annual Meeting (02-28-17) - Canadian Beneficial	03/24/2016	Contest Mailing [?]	P78596
Annual Meeting (02-28-17) - Beneficial Mailing	03/24/2016	No Positions Reporting [?]	P78595
Annual and Special Meeting (02-27-17) - Canadian Beneficial	03/23/2016	Contest Mailing [?]	S44182
Special Meeting (02-27-17) - Beneficial Mailing	03/23/2016	No Positions Reporting [?]	S44181
Special Meeting (03-27-17) - Canadian Beneficial	03/22/2016	Invoice Inquiry [?]	Z67646
Special Meeting (03-27-17) - Beneficial Mailing	03/22/2016	No Positions Reporting [?]	Z67645

[Show all jobs](#)

View Current Proxy Vote Summary

**Alerts**

- Canadian NOBO List
- Electronic Voting Insert
- Web Hosting Services

**My Broadridge Team**

- Client Representative**  
Client Contact  
905-507-5100  
Client.Contact@Broadridge.com
- Sales Representative**  
Sales Contact  
905-507-5100  
Sales.Contact@Broadridge.com
- Issuer Services Client Management Team

**Tools**

- Proxy Timeline [?]
- Postage Calculator [?]
- View Omnibus Reports [?]
- View Bank/Broker Contact Information [?]
- Issuer Guide - Release 16 [?]

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# Reports – View List of Omnibus Proxies

On the Homepage, click on the Reports tab and then select Omnibus Proxies. All Omnibus Proxies will appear that were generated from Canadian and U.S. intermediaries.

The screenshot displays the Broadridge ICS Online Campaign Manager interface. At the top, the logo and 'ICS Online Campaign Manager' are visible, along with 'Glossary' and 'Contact us' links. A search bar contains 'CUSIP: 234567 - CDN INTERNET TEST' and a 'GO' button. A navigation menu includes 'App Menu', 'Home', 'Job Information', 'Information', 'Tools', 'Updates & Requests', 'Reports', 'Administration', and 'Vote Confirmation'. The 'Reports' tab is active, and a blue arrow points to the 'Omnibus Reports' sub-tab.

**Your Current Jobs**

Description
Special Meeting (12-29-15) - Beneficial Mailing
Annual and Special Meeting (12-29-15) - Canadian Beneficial
Special Meeting (10-07-15) - Beneficial Mailing
Annual and Special Meeting (10-07-15) - Canadian Beneficial
Special Meeting (11-23-15) - Beneficial Mailing
Annual and Special Meeting (11-23-15) - Canadian Beneficial
Special Meeting (11-30-15) - Beneficial Mailing
Special Meeting (11-30-15) - Canadian Beneficial

[Show all jobs](#)

[View Current Proxy Vote Summary](#)

**Omnibus Reports**

Powered By PostEdge

**Document Search**

Select Type:

- Omnibus Proxy
- Omnibus Proxy (Tabulating Agent Copy)
- Respondent Identification (Issuer Copy)
- Respondent Identification (Material Agent Copy)

Complete at least one Bold field - or - Complete two or more search fields

<b>Client Number:</b>	Equal	<input type="text"/>	i.e. XXX
<b>Solicitor:</b>	Equal	<input type="text"/>	i.e. X99999
<b>Job Number:</b>	Equal	<input type="text"/>	i.e. X99999
<b>Cusip:</b>	Equal	234567	
<b>Class:</b>	Equal	<input type="text"/>	i.e. 999
<b>Record Date:</b>	Equal	<input type="text"/>	i.e. mm/dd/yyyy
<b>Meeting Date:</b>	Equal	<input type="text"/>	i.e. mm/dd/yyyy
<b>Run Date:</b>	Equal	<input type="text"/>	i.e. mm/dd/yyyy
<b>DTC Number:</b>	Equal	<input type="text"/>	i.e. 99999

[Search](#) [Reset](#)

## Reports – Select Omnibus Proxies

Omnibus Reports – a list of Omnibus Proxies will appear based on the search criteria you entered. Select the proxies you want to view and click View Selected.

### Omnibus Reports

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#### Omnibus Proxy

[Back to Search](#)

SELECT	VIEW	Client Number	Solicitor	Job Number	Cusip	Class	Record Date	Meeting Date	DTC Number	Run Date	Load Date/Time
<input type="checkbox"/>	<a href="#">View</a>	1T3	S60001	P45608	234567	102	1/3/2015	2/26/2015	2039	1/8/2015	1/8/2015 1:08
<input type="checkbox"/>	<a href="#">View</a>	59B	S60001	P45608	234567	102	1/3/2015	2/26/2015	2669	1/8/2015	1/8/2015 1:08
<input type="checkbox"/>	<a href="#">View</a>	3S7	S60001	P45608	234567	102	1/3/2015	2/26/2015	2803	1/8/2015	1/8/2015 1:08
<input type="checkbox"/>	<a href="#">View</a>	808	S60001	P45608	234567	102	1/3/2015	2/26/2015	226	1/8/2015	1/8/2015 1:08
<input type="checkbox"/>	<a href="#">View</a>	CE5	S60001	P45609	234567	102	1/3/2015	2/26/2015	5009	1/8/2015	1/8/2015 1:09
<input type="checkbox"/>	<a href="#">View</a>	CD3	S60001	P45609	234567	102	1/3/2015	2/26/2015	4818	1/8/2015	1/8/2015 1:09
<input type="checkbox"/>	<a href="#">View</a>	93I	S60001	P45608	234567	102	1/3/2015	2/26/2015	901	1/8/2015	1/8/2015 1:08
<input type="checkbox"/>	<a href="#">View</a>	992	S60001	P45608	234567	102	1/3/2015	2/26/2015	992	1/8/2015	1/8/2015 1:08
<input type="checkbox"/>	<a href="#">View</a>	C73	S60001	P45609	234567	102	1/3/2015	2/26/2015	5036	1/8/2015	1/8/2015 1:09
<input type="checkbox"/>	<a href="#">View</a>	82D	S60001	P45608	234567	102	1/3/2015	2/26/2015	902	1/8/2015	1/8/2015 1:08

**Results 1 to 10 of 25**  
 Results Pages: [1](#) [2](#) [3](#)

**NEXT** ►►

Select All
**View Selected**
Reset

Select Omnibus Proxies
 

↑

Click
 

↑



# Job Details

Click on a job from Your Current Jobs list to display a Job Summary.

The screenshot shows the Broadridge ICS Online Campaign Manager interface. At the top, there is a header with the Broadridge logo and the text 'ICS Online Campaign Manager'. Below the header, there is a navigation bar with various menu items: App Menu, Home, Job Information, Information, Tools, Updates & Requests, Reports, Administration, and Vote Confirmation. A search bar is located on the right side of the header, with the text 'Search: CUSIP / Job Number / Issuer Name' and a 'GO' button. The main content area is titled 'Your Current Jobs' and contains a table with the following data:

Description	Record Date	Job Status	Job #
Annual Meeting (02-28-17) - Canadian Beneficial	03/24/2016	Contest Mailing [?]	P78596
Annual Meeting (02-28-17) - Beneficial Mailing	03/24/2016	No Positions Reporting [?]	P78595
Annual and Special Meeting (02-27-17) - Canadian Beneficial	03/23/2016	Contest Mailing [?]	S44182
Special Meeting (02-27-17) - Beneficial Mailing	03/23/2016	No Positions Reporting [?]	S44181
Special Meeting (03-27-17) - Canadian Beneficial	03/22/2016	Invoice Inquiry [?]	Z67646
Special Meeting (03-27-17) - Beneficial Mailing	03/22/2016	No Positions Reporting [?]	Z67645

Below the table, there is a 'Show all jobs' button and a link to 'View Current Proxy Vote Summary'. On the right side of the interface, there are three sections: 'Alerts' (listing Canadian NOBO List, Electronic Voting Insert, and Web Hosting Services), 'My Broadridge Team' (listing Client Representative and Sales Representative contact information), and 'Tools' (listing Proxy Timeline, Postage Calculator, View Omnibus Reports, View Bank/Broker Contact Information, and Issuer Guide - Release 16).

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# Job Summary

Essential details of a job display on this screen.

JOB LISTING   JOB SUMMARY   VOTING   APPOINTEE VOTING   MATERIAL ORDER QUANTITY

**Job Data**

<b>Job Number</b> Z68313	<b>Vote Cutoff Date</b> 7/18/2016
<b>Job Description</b> Special Meeting (07-20-16)	<b>Material Selection</b> All and Special Materials
Notice and Access Mailing	<b>Received Date</b> 6/16/2016
<b>Order Quantity</b> 6,308	<b>Last Updated</b> 8/9/2016 9:33:05 AM (U.S. EASTERN TIME)
<b>Job Type</b> Canadian Beneficial	<b>NOBOs</b> Mailing by Broadridge
<b>Job Status</b> Project Invoiced [?]	<b>OBOs</b> Mailing by Broadridge
<b>Shareholders</b> 7,010	<b>N &amp; A Stratification</b> No
<b>Meeting Date</b> 7/20/2016	<b>N &amp; A Collecting Material Requests</b> Yes
<b>Record Date</b> 6/10/2016	<b>N &amp; A Fulfilling Material Requests</b> Yes
<b>Mail Date</b> 6/21/2016	

Contact Us

[View Material Delivery Information](#)

**Material Selection of Job**

# View Material Delivered to Broadridge Canada or U.S.

From the Job Detail tab for the job you wish to review

JOB LISTING   JOB SUMMARY   VOTING   APPOINTEE VOTING   MATERIAL ORDER QUANTITY

**Job Data**

<b>Job Number</b> Z68313	<b>Vote Cutoff Date</b> 7/18/2016
<b>Job Description</b> Special Meeting (07-20-16)	<b>Material Selection</b> All and Special Materials
Notice and Access Mailing	<b>Received Date</b> 6/16/2016
<b>Order Quantity</b> 6,308	<b>Last Updated</b> 8/9/2016 9:33:05 AM (U.S. EASTERN TIME)
<b>Job Type</b> Canadian Beneficial	<b>NOBOs</b> Mailing by Broadridge
<b>Job Status</b> Project Invoiced [?]	<b>OBOs</b> Mailing by Broadridge
<b>Shareholders</b> 7,010	<b>N &amp; A Stratification</b> No
<b>Meeting Date</b> 7/20/2016	<b>N &amp; A Collecting Material Requests</b> Yes
<b>Record Date</b> 6/10/2016	<b>N &amp; A Fulfilling Material Requests</b> Yes
<b>Mail Date</b> 6/21/2016	

Contact Us

[View Material Delivery Information](#)

**Click on View Material Delivery Information**

# View Material Delivered



## Disclaimer

Broadridge provides no warranty, expressed or implied, as to the accuracy, reliability or completeness of material delivery information prior to its reconciliation by Broadridge. Un-reconciled information is based on the number of cartons/ skids delivered per the packing slip and have not been verified by Broadridge. While Broadridge makes every effort to provide accurate and complete information about your material delivery, various data such as material quantity, job numbers, etc. may change once reconciled by Broadridge. For materials received prior to 4:00 p.m. ET on a business day, it may take Broadridge at least 4 hours after receipt to reflect this information online. Receipt of material received by Broadridge after 4:00 p.m. ET on a business day will not appear online until the following business day. Please note that the use of the material delivery information contained on this web page is intended for your reference only. For assistance, please click here for a detailed list of Broadridge Client Service Departments.

**Tip: A clear packing slip with Broadridge's Job/Order number will ensure quicker turnaround time.**

Details for the Material Type, Receipt Date and Quantity Received are displayed

Job Number	Material Type	Receipt Date	Quantity Received	Material Code	Status
S28106	Annual Report	2/24/2015 1:36 PM	67,392	ENG AR APR/10/15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	3/9/2015 9:39 AM	24,480	E MC APR 10 15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	3/4/2015 2:38 PM	19,365	E MC APR 10 15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	3/10/2015 12:23 PM	24,480	E MC APR 10 15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	3/5/2015 8:37 AM	61,455	E MC APR 10 15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	3/5/2015 2:06 PM	67,320	E MC APR 10 15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	3/6/2015 2:31 PM	10	E MC APR 10 15	UNRECONCILED
S28106	Annual Report	2/26/2015 10:05 AM	67,392	ENG AR APR/10/15	RECONCILED
S28106	Notice of Meeting/Proxy Statement	2/26/2015 11:55 AM	24,480	E MC APR 10 15	RECONCILED
S28106	Annual Report	2/26/2015 3:21 PM	8,238	ENG AR APR/10/15	RECONCILED

# Material Order Quantity – Traditional Mailing

After selecting a job on the “Your Current Jobs” screen (see page 11), click on the “Material Order Quantity” tab.

**Click here** →

JOB LISTING | JOB SUMMARY | VOTING | APPOINTEE VOTING | **MATERIAL ORDER QUANTITY**

**Job Number:**  **Job Description:** Annual Meeting (04-04-15) **Record Date:** 2/4/2015 **Meeting Date:** 4/4/2015

his report may be used:

- To estimate paper quantities for the printing of information letters.
- To determine print reductions due to document suppression on ProxyEdge® systems and because a portion of your security

**Drop down box:  
Combined (Canada and U.S.)  
Beneficial (Broadridge U.S.)  
Canadian Beneficial (Broadridge Canada)**

Last Updated on: Feb 12 2015 7:16AM

**Stock Class :**

RECORD DATE INFORMATION				Traditional Mailing	
<b>DELIVERY INSTRUCTIONS:</b>					
CANADIAN LOCATION	LANGUAGE	MAILING SETS	EXTRA	LOCATION TOTAL	CANADA TOTAL
Broadridge Investor Communication Solutions, Canada RE: P32369 5970 Chedworth Way Mississauga, ON L5R 4G5	English	145,804	500	146,304	157,574
	French	10,970	300	11,270	
U.S. LOCATION	LANGUAGE	MAILING SETS	EXTRA	LOCATION TOTAL	U.S TOTAL
Broadridge Investor Communication Solutions RE: P32368 51 Mercedes Way Edgewood, NY 11717- 8311	English	89,241	500	89,741	89741
				<b>TOTAL</b>	<b>247,315</b>

# Material Order Quantity – Notice and Access

If you have multiple classes in a job, click on the “Stock Class” drop down arrow. You can then query a particular class.

[JOB LISTING](#) | [JOB SUMMARY](#) | [VOTING](#) | [APPOINTEE VOTING](#) | **MATERIAL ORDER QUANTITY**

**Job Number:**  **Job Description:** Special Meeting (05-02-15) **Record Date:** 3/18/2015 **Meeting Date:** 5/2/2015

This report may be used:
 

- To estimate paper quantities for the printing of information material such as
- To determine print reductions due to document suppressions through Broadridge® systems and because a portion of your securityholders stated a

**Drop down box:**  
 Combined (Canada and U.S.)  
 Beneficial (Broadridge U.S.)  
 Canadian Beneficial (Broadridge Canada)

**Stock Class :**  **Drop down box:**  
 By Class

WORD DATE INFORMATION Notice & Access

**PRINTING REQUIREMENTS:**

LANGUAGE	NOTICE PACKAGE *	FULL PACKAGE *
English	103,730	11,424
French	3,983	102
<b>TOTAL</b>	<b>107,713</b>	<b>11,526</b>

\* The above material quantities have been reduced for email consents and includes extra material for spoilage in shipping and inserting.

**DELIVERY INSTRUCTIONS:**

CANADIAN LOCATION	LANGUAGE	NOTICE PACKAGE *	FULL PACKAGE *
Broadridge Investor Communication Solutions, Canada RE: S02730 5970 Chedworth Way Mississauga, ON L5R 4G5	English	46,341	1,038
	French	3,983	102
U.S. LOCATION	LANGUAGE	NOTICE PACKAGE *	FULL PACKAGE *
Broadridge Investor Communication Solutions RE: S02729 51 Mercedes Way Edgewood, NY 11717- 8311	English	57,389	10,386
<b>TOTAL</b>		<b>107,713</b>	<b>11,526</b>

\* The above material quantities have been reduced for email consents and includes extra material for spoilage in shipping and inserting.

## Notice and Access – Fulfillment

Issuers who choose to deliver material to those investors that have requested a full set of material can utilize the collection service of Broadridge and download the name and addresses.

Issuers will receive an email notification that full set materials have been requested. The issuers can retrieve the file of name and addresses using the MyService.Broadridge.com portal in text or excel file formats.

Issuers need to be aware that requests for material must be fulfilled:

- 3 business days from receipt of the request up to the day prior to the meeting
- 10 business days from receipt of the request from the day of the meeting up to 1 year from the date the material was filed on SEDAR

**From:** Broadridge.Fulfillment.Service@broadridge.com  
**Sent:** May 02,2017  
**To:** Issuer.Email@domain.ca  
**Subject:** Fulfillment Service Change Notification

Issuer Name: CDN INTERENET TEST

CUSIP: 234567

Meeting Date: Jun 10, 2017

Fulfillment by Issuer

Current Date: May 02, 2017

In accordance with your instructions, Broadridge will be providing the issuer or their agent with the name and address of beneficial owners that request material through the Broadridge Material Request Service. The issuer or their agent will deliver paper copies of the materials to the investor identified in accordance with National Instrument 54-101.

Broadridge will continue to collect the requests for material and will advise the issuer or their agent when the file is available for pick up. Access to the files will be made available at [www.myservice.broadridge.com](http://www.myservice.broadridge.com). You will need to login using your email address and password; go to the Reports tab, Client Reports, to access the file.

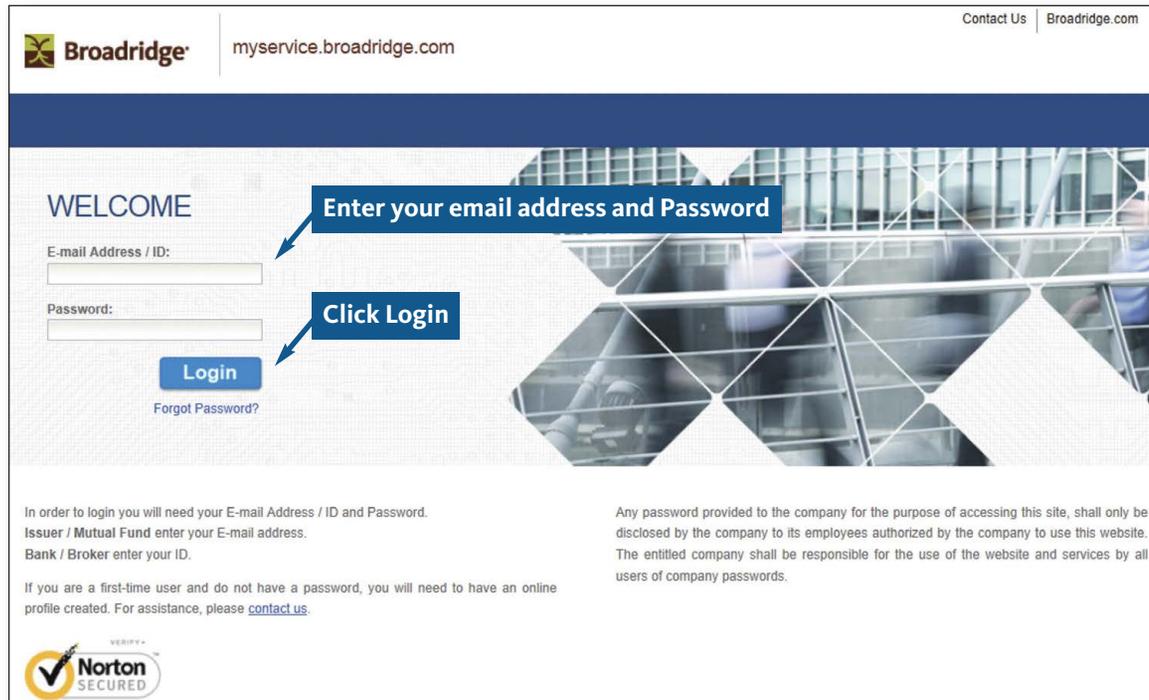
Under National Instrument 54-101 2.7.1 beneficial owners may request a paper copy of the meeting materials free of charge to the address specified in the request. In the case of a request being received **prior to the meeting date**, issuers must deliver by first class mail, courier or equivalent **within 3 business days**. If the request is received **on or after the meeting date**, issuers must deliver by first class mail, courier or equivalent **within 10 calendar days**.

If you would like to change your instructions or have any questions regarding this notification, please contact your Broadridge representative at [Client.Relations@Broadridge.com](mailto:Client.Relations@Broadridge.com) or toll free at 1-888-789-7780.



[broadridge.com](http://broadridge.com)

## Log into MyService.Broadridge.com



The screenshot shows the login page for MyService.Broadridge.com. At the top left is the Broadridge logo and the URL myservice.broadridge.com. At the top right are links for Contact Us and Broadridge.com. The main content area features a 'WELCOME' heading, followed by input fields for 'E-mail Address / ID:' and 'Password:'. A blue 'Login' button is positioned below the password field, with a 'Forgot Password?' link underneath it. Two blue callout boxes with arrows point to the input fields, containing the text 'Enter your email address and Password' and 'Click Login' respectively. Below the login form, there are two columns of text: the left column provides instructions for different user types (Issuer/Mutual Fund vs. Bank/Broker) and mentions a first-time user requirement; the right column contains a disclaimer about password disclosure. At the bottom left, there is a Norton Secured logo.

**Broadridge** myservice.broadridge.com [Contact Us](#) [Broadridge.com](#)

**WELCOME**

E-mail Address / ID:

Password:

**Login**

[Forgot Password?](#)

**Enter your email address and Password**

**Click Login**

In order to login you will need your E-mail Address / ID and Password.  
**Issuer / Mutual Fund** enter your E-mail address.  
**Bank / Broker** enter your ID.

If you are a first-time user and do not have a password, you will need to have an online profile created. For assistance, please [contact us](#).

Any password provided to the company for the purpose of accessing this site, shall only be disclosed by the company to its employees authorized by the company to use this website. The entitled company shall be responsible for the use of the website and services by all users of company passwords.

**Norton SECURED**

Go to Reports on the menu bar, click on Client Reports

**Broadridge** | ICS Online Campaign Manager

Glossary Contact us

CUSIP : 234567 - CDN INTERNET TEST

Search: CUSIP / Job Number / Issuer Name **GO** Search: CUSIP / Job Number / Issuer Name **GO**

App Menu Home Job Information Information Tools Updates & Requests **Reports** Administration Vote Confirmation

**Your Current Jobs**

Description	Job Status	Job #
Annual Meeting (02-28-17) - Canadian Beneficial	Contest Mailing [?]	P78596
Annual Meeting (02-28-17) - Beneficial Mailing	No Positions Reporting [?]	P78595
Annual and Special Meeting (02-27-17) - Canadian Beneficial	Contest Mailing [?]	S44182
Special Meeting (02-27-17) - Beneficial Mailing	Positions Reporting [?]	S44181
Special Meeting (03-27-17) - Canadian Beneficial	Notice Inquiry [?]	Z67646
Special Meeting (03-27-17) - Beneficial Mailing	Positions Reporting [?]	Z67645

**Reports** Administration Vote Confirmation

- Shareholder Meeting Reports
- OmniBus Reports
- Client Reports**

**Alerts**

- Canadian NOBO List
- Electronic Voting Insert
- Web Hosting Services

**My Broadridge Team**

- Client Representative**  
Client Contact  
905-507-5100  
Client.Contact@Broadridge.com
- Sales Representative**  
Sales Contact  
905-507-5100  
Sales.Contact@Broadridge.com
- Issuer Services Client Management Team

**Tools**

- Proxy Timeline [?]
- Postage Calculator [?]
- View Omnibus Reports [?]
- View Bank/Broker Contact Information [?]
- Issuer Guide - Release 16 [?]

New window will open PostEdge Reports.

Click on Document Search

The screenshot displays the Broadridge user interface. At the top left is the Broadridge logo. The top navigation bar includes the text "Welcome 234567 - Login time:10:34:54 AM - v 5.2" and a list of links: "HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION". Below this is a secondary navigation bar with buttons for "My Home", "Saved Views", and "Document Search". A blue bar contains icons and labels for "View documents", "View Reports", "Edit Search", "Remove", and "Mail". Below this is a "News" section with a "Search Name" input field. A table header is visible with columns for "Task Name", "Creation Date", "View Type", and "State".

Welcome 234567 - Login time:10:34:54 AM - v 5.2

HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION

My Home Saved Views Document Search

View documents View Reports Edit Search Remove Mail

News Search Name

View Results Remove

Task Name	Creation Date	View Type	State
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## Select Document Type – Fulfillment Requests

The screenshot displays the Broadridge web application interface. At the top left is the Broadridge logo. The top navigation bar includes the user's login information: "Welcome 234567 - Login time:10:34:54 AM - v 5.2" and a series of links: "HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION". Below the navigation bar are three buttons: "My Home", "Saved Views", and "Document Search". A blue header bar contains the text "Simple Advanced". The main content area features two dropdown menus. The "Document Groups" dropdown is set to "All Document Types". The "Document Types" dropdown is open, showing a list of options: "Select Document Type", "Daily Vote Client Proxy (M)", "Director Exceptions (M)", "Fulfillment Requests - (CFISLIST) (M)", "Material Request (NDMZ019A)", "NCOA - Move Updates - Register (NDMPOF46) - (M)", and "Rec Date Position & Material Req (NDMZ003B)". The option "Fulfillment Requests - (CFISLIST) (M)" is highlighted in blue.

A default date range will display, click Submit, or modify date range and Submit

Welcome 234567 - Login time:10:34:54 AM - v 5.2

HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION

**Broadridge™**

My Home | Saved Views | Document Search

Simple | **Advanced**

Document Groups: All Document Types

Document Types: Fulfillment Requests - (CFISLIST) (M)

Document Date (MM/dd/yyyy) From: 04/28/2013 To: 05/03/2013 Latest Reports

Annotation Criteria: All Documents

Field	Value
<input type="button" value="Submit"/> <input type="button" value="Clear Form"/>	

A list of the all the existing reports will display, highlight Fulfillment Report to be accessed

The screenshot displays the Broadridge web application interface. At the top left is the Broadridge logo. The user is logged in as '234567' with a login time of '10:34:54 AM - v 5.2'. Navigation links include 'HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION'. Below the logo are tabs for 'My Home', 'Saved Views', and 'Document Search'. A secondary navigation bar contains 'Document list', 'Report', 'Table', and 'XLS Table'. A toolbar with various icons is visible. The main content area shows search results for 'Fulfillment Requests - (CFISLIST) (M) - From: 04/28/2013 To: 05/03/2013'. Two results are listed: 'Fulfillment Requests - 5/2/13 - CUSIP 234567' and 'Fulfillment Requests - 5/3/13 - CUSIP 234567'. A legend below the results indicates '[A] - Annotated, [D] - Discussed, [P] - Premined'. At the bottom, a message states 'Your search matched 2 documents.'

## Choose Output format

The screenshot displays the Broadridge web application interface. At the top left is the Broadridge logo. The top right shows the user's login information: "Welcome 234567 - Login time:10:34:54 AM - v 5.2" and navigation links: "HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION". Below this is a navigation bar with tabs for "My Home", "Saved Views", and "Document Search". A secondary navigation bar contains options: "Document list", "Report", "Table", and "XLS Table".

The main content area shows search results for "Fulfillment Requests - (CFISLIST) (M) - From: 04/28/2013 To: 05/03/2013". A table of results is displayed:

Fulfillment Requests - 5/2/13 - CUSIP 234567
Fulfillment Requests - 5/3/13 - CUSIP 234567

Below the table, a legend indicates: "[A] - Annotated, [D] - Discussed, [P] - Premined". At the bottom of the results area, it states "Your search matched 2 documents.".

On the right side of the interface, there is a toolbar with various icons. Two blue callout boxes with arrows point to specific icons: "Excel file" points to the "XLS Table" icon in the secondary navigation bar, and "Text file" points to the "TXT" icon in the toolbar.

## Output in Excel

The screenshot shows the Broadridge web interface. At the top left is the Broadridge logo. The top right shows the user's login information: "Welcome 234567 - Login time: 10:34:54 AM - v 5.2" and navigation links: "HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION". Below the logo are three tabs: "My Home", "Saved Views", and "Document Search". A blue navigation bar contains "Document list", "Report", "Table", and "XLS Table". A "File Download" dialog box is open in the center, asking "Do you want to open or save this file?". The file details are: Name: export6038222214472809071.xls, Type: Microsoft Excel 97-2003 Worksheet, 7.00KB, and From: postedge.reportmailbox.net. There are "Open", "Save", and "Cancel" buttons. A checkbox "Always ask before opening this type of file" is checked. A security warning at the bottom states: "While files from the Internet can be useful, some files can potentially harm your computer. If you do not trust the source, do not open or save this file. [What's the risk?](#)".

Copy of export4221973248811548089.xls [Compatibility Mode] - Microsoft Excel

File Home Insert Page Layout Formulas Data Review View Acrobat

Clipboard Font Alignment Number Styles Cells Editing WebEx

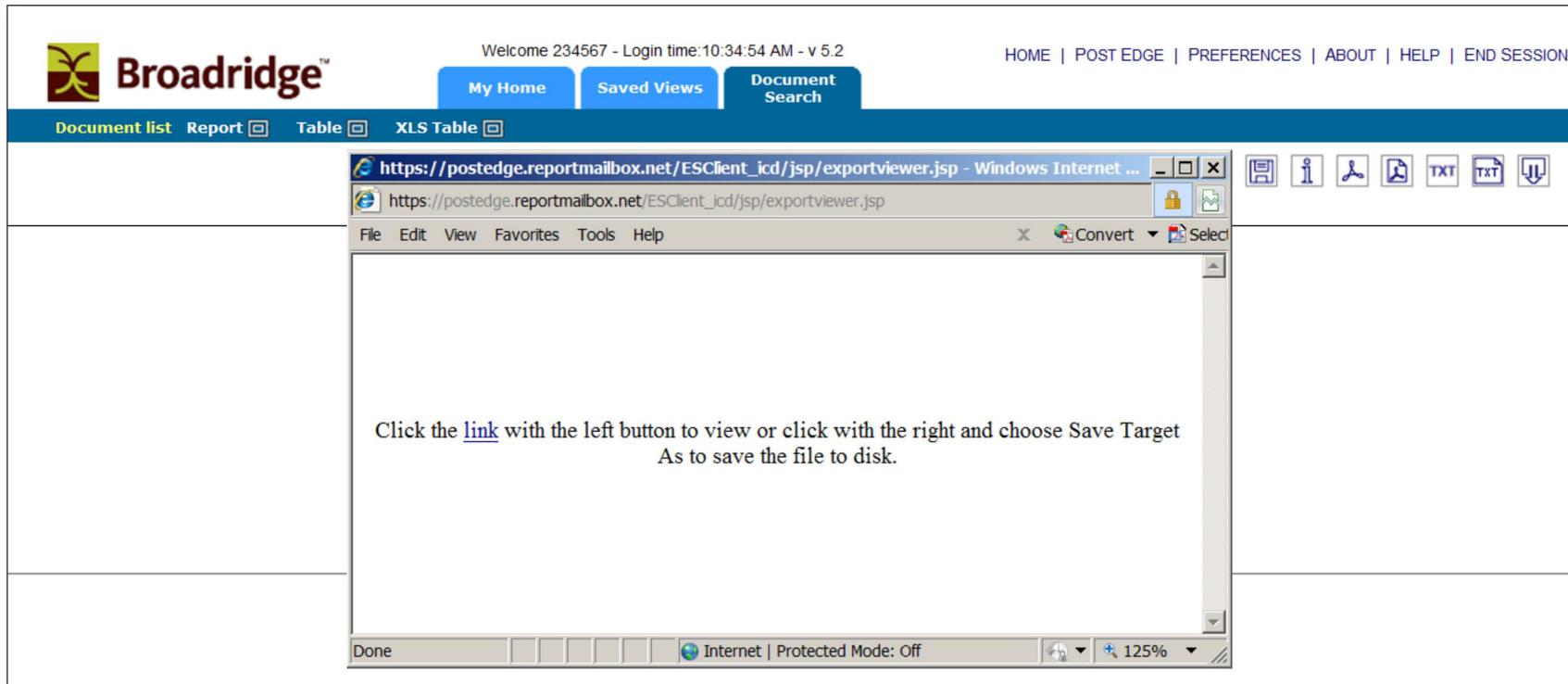
J13

	A	B	C	D	E	F	G	H	I	J	K	L
1	CUSIP	MEETING_DATE	JOB_NUMBER	DATE_OF_REQUEST	ADDRESS_1	ADDRESS_2	ADDRESS_3	ADDRESS_4	ADDRESS_5	ADDRESS_6	ADDRESS_7	LANG
2	234567	30-SEP-13	S04143	01-MAY-13	JOE SAMPLE	BROADRIDGE TEST CLIE 5970 CHEDWORTH WAY	TORONTO, ON L5R 4G5	CANADA				en
3	234567	30-SEP-13	S04143	01-MAY-13	INVESTOR SMITH	BROADRIDGE TEST CLIE 5970 CHEDWORTH WAY	TORONTO, ON L5R 4G5	CANADA				en
4	234567	30-SEP-13	W04143	01-MAY-13	INVESTMENT COMPANY	BROADRIDGE TEST CLIE 5970 CHEDWORTH WAY	TORONTO, ON L5R 4G5	CANADA				fr
5	234567	30-SEP-13	S04143	01-MAY-13	LINDA PUBLIC	BROADRIDGE TEST CLIE 5970 CHEDWORTH WAY	TORONTO, ON L5R 4G5	CANADA				en
6	234567	30-SEP-13	S04143	01-MAY-13	ALEX BROWN	BROADRIDGE TEST CLIE 5970 CHEDWORTH WAY	TORONTO, ON L5R 4G5	CANADA				en
7	234567	30-SEP-13	S04143	01-MAY-13	XAVIER	BROADRIDGE TEST CLIE 5970 CHEDWORTH WAY	TORONTO, ON L5R 4G5	CANADA				en
8												
9												
10												
11												
12												
13												
14												
15												
16												
17												
18												
19												
20												
21												
22												

Fulfillment\_Requests\_CFISLI

Ready 100%

## Output in text format



Welcome 234567 - Login time:10:34:54 AM - v 5.2

HOME | POST EDGE | PREFERENCES | ABOUT | HELP | END SESSION

My Home | Saved Views | Document Search

Document list | Report | Table | XLS Table

https://postedge.reportmailbox.net/ESClient\_icsd/jsp/exportviewer.jsp - Windows Internet ...

https://postedge.reportmailbox.net/ESClient\_icsd/export/export518720424379523923.txt

File Edit View Favorites Tools Help

CUSIP	MEETING_DATE	JOB_NUMBER	DATE_OF_REQUEST	ADDRESS_1
234567	30-SEP-13	S04143	01-MAY-13	ALIM RAMJOHN
234567	30-SEP-13	S04143	01-MAY-13	CECILIA BANARES
234567	30-SEP-13	W04143	01-MAY-13	SANDY DHALIWAL
234567	30-SEP-13	S04143	01-MAY-13	NOEL CATANIA
234567	30-SEP-13	S04143	01-MAY-13	ALEX KRUZIC
234567	30-SEP-13	S04143	01-MAY-13	MILA DEL CARMEN

Done

Internet | Protected Mode: Off

125%

Print your labels or envelopes and mail the full set of material.  
 Keep a record of the dates the material was mailed for future inquiries and compliance of regulation.

# Nominee Information

Scroll down to view the nominee information, shares represented (for record date proxy jobs only) and investor counts by nominee. Click on a nominee to view detailed information about suppressions resulting from email delivery, consents and account consolidation.

JOB LISTING
JOB SUMMARY
VOTING
APPOINTEE VOTING
MATERIAL ORDER QUANTITY

Job Number: COMBINED
Job Description: Special Meeting (05-02-15)
Record Date: 3/18/2015
Meeting Date: 5/2/2015

This report may be used:

- To estimate paper quantities for the printing of information material such as annual reports, information circulars and notice letters.
- To determine print reductions due to document suppressions through Broadridge's managed account, consolidation and ProxyEdge® systems and because a portion of your securityholders stated a preference for electronic delivery via the internet.

Shares will appear on Record Date proxy jobs only

**NOMINEE INFORMATION GOLDCORP INC.**

CLIENT #	NOMINEE NAME	TOTAL SHARES	ENGLISH	FRENCH	TOTAL
18K	1ST SOURCE BANK	100	2	0	2
069	ABN AMRO CLEARING CHICAGO LLC.	72,390	10	0	10
99D	ACADIA TRUST, N.A.	189,717	16	0	16
284	ALBERT FRIED & COMPANY, LLC	63,774	5	0	5
13R	ALLIANCE BANK N.A.	1,500	2	0	2
C21	W.D. LATIMER CO. LTD	11,040	3	0	3
380	WADSWORTH & CO. LLP	200	3	0	3
43S	WAGGONER NATIONAL BANK	600	3	0	3
7B8	WASHINGTON TRUST CO	50	2	0	2
02N	WAYNE BANK	500	1	0	1
5T0	WEBSTER FINANCIAL ADVISORS	200	2	0	2
103	WEDBUSH SECURITIES			0	224
89Y	WELCH & FORBES LLC			0	2
67Y	WELCH AND FORBES L			0	1
79C	WELLS FARGO	3	3	11,040	154
79P	WELLS FARGO			0	10
82V	WELLS FARGO BANK/S			0	2
002	WELLS FARGO SECUR			0	3
79I	WESBANCO BANK	0	0	0	6
60Q	WHEELER & CO			0	3
771	WILLIAM BLAIR & COM	0	0	0	63
283	WILSON-DAVIS & CO., INC	790	4	0	4
96U	WMCGP	674	2	0	2
C61	WOLVERTON SECURITIES LIMITED	78,810	65	0	65
8B5	WOODLANDS BANK	4,050	2	0	2
DI4	WOODSTONE CAPITAL INC	0	1	0	1
522	WULFF HANSEN & CO.	4,125	6	0	6
824	ZIV INVESTMENT COMPANY	15,975	18	0	18
<b># of Nominees: 449</b>		<b>775,925,711</b>			

Click on Nominee to obtain detailed information

W.D. LATIMER CO. LTD		
NOMINEE INFORMATION AS OF 3/21/2013		
TOTAL POSITIONS	MAIL ITEMS	TOTAL SHARES
3	3	11,040
MAIL SUPPRESSIONS BY CATEGORY		
EMAIL CONSENTS	HOUSEHOLDING	CONSOLIDATIONS
0	0	0
PROXYEDGE	SPECIAL PROCESSING	WRAP ACCOUNTS
0	0	0

# Voting Results

To see the votes tabulated, click on the Voting tab. Select either Combined, Broadridge U.S. (Beneficial Mailing) or Broadridge Canada (Beneficial Mailing). The Proxy Vote Summary represents all votes tabulated to this point, including those reported to you or your tabulator and those tabulated but not yet reported. You can also view the Director Vote results by Director nominee.

CUSIP : TEST16 - PHASE 2 FOR CANADIAN REORGS Search: CUSIP / Job Number / Issuer Name

App Menu | Home | Job Information | Information | Tools | Updates & Requests | Reports | Update Profile | Vote Confirmation

JOB LISTING | JOB SUMMARY | **VOTING** | APPOINTEE VOTING | MATERIAL ORDER QUANTITY

Job Number:  Job Type: Annual Meeting Record Date: 01/03/2015 Meeting Date: 2/26/2015 Vote Cutoff Date: 2/24/2015

Proxy Vote Summary: Beneficial Proxy Vote Summary by Stock Class: Proxy votes are shown by stock class and outstanding. These are gross numbers, which must be reconciled by your tabulator, based on the date/time indicated. Updates only occur when new votes are available to report. Last Updated on : Oct 23 2014 8:14AM

**Vote Total Option - Drop Down**

**COMBINED**

P12341 Beneficial Mailing

P12342 Canadian Beneficial

PROXY VOTE TOTALS BY CLASS OF STOCK

[Proxy Vote Summary](#) | [Pending Vote Summary](#)

[Vote Totals](#) | [Nominee Voting](#) | [Nominee Worksheet](#) Traditional Mailing

Class :  Bank/Broker:

No. of Shares : 7,103,570

PROPOSAL	FOR	AGAINST	WITHHELD	3 YEARS	2 YEARS	1 YEAR
01 (Directors)	252,075		140,000			
02	201,005	191,070	0			
03	241,700	0	150,370			
04			5	141,700	15	250,000
DIRECTOR NOMINEES			FOR	WITHHELD		
<b>Director Votes</b> → DIRECTOR 1			201,725	190,350		
DIRECTOR 2			252,060	140,015		
DIRECTOR 3			252,075	140,000		
DIRECTOR 4			252,075	140,000		
DIRECTOR 5			252,075	140,000		

## Pending Vote Summary

These are votes tabulated but not reported to you or your tabulator. This may be helpful to verify if a large block has been voted and which will appear on the next proxy vote report sent to you or your tabulator.

PENDING VOTE TOTALS BY CLASS OF STOCK

[Proxy Vote Summary](#) | **Pending Vote Summary** | [Vote Totals](#) | [Nominee Voting](#) Traditional Mailing 

Class : COMMON\*\*\*\* Bank/Broker: All

No. of Shares : 15,488,183 Total Shares for Legal Proxies : 00,000

PROPOSAL	FOR	AGAINST	ABSTAINED
01	23,973	0	800
02	24,473	0	300
03	24,473	300	0
04	24,473	300	0

Votes tabulated by Broadridge but not yet sent to the tabulator

## Drop-down Boxes – Refine your Search

The bank/broker breakdown is useful to determine the discretionary vote through U.S. brokers and dealers. Canadian broker/dealer votes are viewed under the Bank view because they do not have discretionary voting rights.

Drop down box. Refine by Class

Drop down box. Refine by: All Bank Broker

PROXY VOTE TOTALS BY CLASS OF STOCK

[Proxy Vote Summary](#) | [Pending Vote Summary](#)

[Vote Totals](#) | [Nominee Voting](#) | [Nominee Worksheet](#)

Class : COMMON 105  
COMMON 105  
PREFERRED 207

Bank/Broker: All

PROXY VOTE TOTALS BY CLASS OF STOCK

[Proxy Vote Summary](#) | [Pending Vote Summary](#)

[Vote Totals](#) | [Nominee Voting](#) | [Nominee Worksheet](#)

Class : TEST CANADIAN PRODUCTION TEST JOB - TES Bank/Broker: All

# Appointee Voting – Appointee Vote Summary

This tab only applies to Broadridge Canada votes. It represents all shares voted that recorded an Appointee on their vote instructions.

[Click here](#)

[JOB LISTING](#) | [JOB SUMMARY](#) | [VOTING](#) | **APPOINTEE VOTING** | [MATERIAL ORDER QUANTITY](#)

**Job Number:** P56726 | **Job Type:** Annual Meeting | **Record Date:** 10/3/2014 | **Meeting Date:** 1/30/2015

Appointee Vote Summary: Appointee vote summary by class of stock. The Appointee Vote Summary below includes any Pending Votes outstanding. These are gross numbers, which must be reconciled by your tabulator, taking into account voting authority at the nominee level after reviewing the omnibus position(s). Help topics include HOLDRS, pending votes and proportional voting. This is an interim update as of the date/time indicated. Updates only occur when new votes are available to report. Last Updated on : Jan 31 2015 10:07PM

**APPOINTEE VOTE TOTALS BY CLASS OF STOCK**

[Appointee Vote Summary](#) | [Pending Appointee Vote Summary](#) | [Appointees](#) | [Vote Totals](#) | [Nominee Voting](#)

[Print](#)

**Class:** TEST CANADIAN PRODUCTION TEST JOB - TES

**No. of Shares:** 1,909,505

PROPOSAL	FOR	WITHHELD	UNMARKED	3 YEARS	2 YEARS	1 YEAR	
01 (Directors)	1,271,505	397,000	241,000				
PROPOSAL	FOR	AGAINST	ABSTAINED	UNMARKED	3 YEARS	2 YEARS	1 YEAR
02	593,000	1,074,505	0	242,000			
03	1,130,505	192,000	346,000	241,000			
04			148,505	131,000	971,000	253,000	406,000
DIRECTOR NOMINEES		FOR	WITHHELD	UNMARKED			
DIRECTOR 1		934,500	734,000	241,005			
DIRECTOR 2		1,221,505	397,000	291,000			
DIRECTOR 3		1,221,505	397,000	291,000			
DIRECTOR 4		1,269,005	397,000	243,500			
DIRECTOR 5		1,217,005	447,000	245,500			

[Click here](#)

# Appointee Detail

Identify Appointees and the total shares they represent across all brokers/dealers.

JOB LISTING | JOB SUMMARY | VOTING | APPOINTEE VOTING | MATERIAL ORDER QUANTITY

Job Number: P56726  Job Type: Annual Meeting Record Date: 10/3/2015 Meeting Date: 10/24/2015

Last Updated on : Oct 23 2014 5:21PM

### APPOINTEE VOTE TOTALS SUMMARY

[Appointee Vote Summary](#) | [Pending Appointee Vote Summary](#)  
[Vote Totals](#) | [Nominee Voting](#)

Class : TEST CANADIAN PRODUCTION TEST JOB - TES

No. of Shares : 1,170,005

APPOINTEES	SHARES
<a href="#">ABC</a>	241,000
<a href="#">TIM</a>	190,000
<a href="#">JOE</a>	150,000
<a href="#">PEKKLE</a>	150,000
<a href="#">PAUL</a>	140,000
<a href="#">BOB</a>	104,500
<a href="#">LITTLE TWIN STAR</a>	100,000
<a href="#">JOHN</a>	91,000
<a href="#">HELLO KITTY</a>	2,500
<a href="#">TOM</a>	1,000
<a href="#">SAM</a>	5

**Click on Appointee Name for voting details**

# Appointee Voting Pattern

Identifies how an appointee voted across all brokers/dealers.

Example: Unmarked Votes.  
Tabulated votes that give the named appointee discretion over how to vote at a meeting.

APPOINTEE VOTE TOTALS BY CLASS OF STOCK  
[Appointee Vote Summary](#) | [Pending Appointee Vote Summary](#) | [Appointees](#)  
[Vote Totals](#) | [Nominee Voting](#)

Class : TEST CANADIAN PRODUCTION TEST JOB - TES

No. of Shares : 190,000 Appointee: TIM

PROPOSAL	FOR	WITHHELD	UNMARKED	3 YEARS	2 YEARS	1 YEAR	
01 (Directors)	0	0	190,000				
PROPOSAL	FOR	AGAINST	ABSTAINED	UNMARKED	3 YEARS	2 YEARS	1 YEAR
02	0	0	0	190,000			
03	0	0	0	190,000			
04			0	40,000	150,000	0	0
DIRECTOR NOMINEES			FOR	WITHHELD	UNMARKED		
DIRECTOR 1			0	0	190,000		
DIRECTOR 2			0	0	190,000		
DIRECTOR 3			0	0	190,000		
DIRECTOR 4			0	0	190,000		
DIRECTOR 5			0	0	190,000		

Example: Directed Voting.  
Tabulated votes that direct the named Appointee how to vote at a meeting.

APPOINTEE VOTE TOTALS BY CLASS OF STOCK  
[Appointee Vote Summary](#) | [Pending Appointee Vote Summary](#) | [Appointees](#)  
[Vote Totals](#) | [Nominee Voting](#)

Class : TEST CANADIAN PRODUCTION TEST JOB - TES

No. of Shares : 241,000 Appointee: ABC

PROPOSAL	FOR	WITHHELD	UNMARKED	3 YEARS	2 YEARS	1 YEAR	
01 (Directors)	190,000	51,000	0				
PROPOSAL	FOR	AGAINST	ABSTAINED	UNMARKED	3 YEARS	2 YEARS	1 YEAR
02	51,000	190,000	0	0			
03	241,000	0	0	0			
04			0	0	190,000	51,000	0
DIRECTOR NOMINEES			FOR	WITHHELD	UNMARKED		
DIRECTOR 1			190,000	51,000	0		
DIRECTOR 2			190,000	51,000	0		
DIRECTOR 3			190,000	51,000	0		
DIRECTOR 4			190,000	51,000	0		
DIRECTOR 5			190,000	51,000	0		

# Pending Appointee Votes

These are the tabulated Appointee votes that are not yet reported to you or your tabulator. They will be reported on the next proxy vote report issued.

**BROADRIDGE TEST CLIENT - APPOINTEE VOTE TOTALS BY CLASS OF STOCK**

[Appointee Vote Summary](#) | [Pending Appointee Vote Summary](#) | [Appointees](#)

[Vote Totals](#) | [Nominee Voting](#)

Class : TEST CANADIAN PRODUCTION TEST JOB - TES

[Click here for pending Appointee votes](#)

No. of Shares : 241,000 Appointee: ABC

PROPOSAL	FOR		WITHHELD	UNMARKED	3 YEARS	2 YEARS	1 YEAR
01 (Directors)	190,000		51,000	0			
PROPOSAL	FOR	AGAINST	ABSTAINED	UNMARKED	3 YEARS	2 YEARS	1 YEAR
02	51,000	190,000	0	0			
03	241,000	0	0	0			
04			0	0	190,000	51,000	0
DIRECTOR NOMINEES			FOR	WITHHELD	UNMARKED		
DIRECTOR 1			190,000	51,000	0		
DIRECTOR 2			190,000	51,000	0		
DIRECTOR 3			190,000	51,000	0		
DIRECTOR 4			190,000	51,000	0		
DIRECTOR 5			190,000	51,000	0		

## Data Security

Data security is a high priority at Broadridge. We have taken a proactive approach to manage our data security interfaces with our clients. We are requesting all of our clients to establish a Data Security Administrator (DSA) who will manage the technical relationship between your team and Broadridge. In doing so, all requests for systems/security access and file interfaces can flow through an individual or multiple designated representatives.

Below are some recommended security guidelines for your DSA:

- Submit access requests such as new user account creation, password resets, removal of Administrative suspensions and terminations of users via email through your designated Broadridge representative or [Client.Relations@Broadridge.com](mailto:Client.Relations@Broadridge.com).
- Requests to terminate users' access to any Broadridge application are to be sent to your Broadridge representative as soon as possible to deactivate their user IDs. This includes ICSONline, MyService.Broadridge.com, Campaign Manager, ProxyEdge, etc.
- Periodically advise users with access to Broadridge applications that sharing of user IDs and passwords is not permitted. In cases where the DSA will be out of the office, communicate the contact information for the designated backup to Broadridge.
- Periodic review or recertification of users and their associated access is strongly recommended.



## About Broadridge

Broadridge Financial Solutions, Inc. (NYSE:BR) is the leading global provider of investor communications and technology-driven solutions for broker-dealers, banks, mutual funds and corporate issuers. Broadridge's investor communications, securities processing and business process outsourcing solutions help clients reduce their capital investments in operations infrastructure, allowing them to increase their focus on core business activities.

With over 50 years of experience, Broadridge's infrastructure underpins proxy voting services for over 90% of public companies and mutual funds in North America, and processes more than \$5 trillion in fixed income and equity trades per day. Broadridge employs approximately 6,400 full-time associates in 13 countries.

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