

Accelerate SBL end-to-end with NAVIGATOR

Securities-based lending (SBL) programs are valuable sources of revenue for wealth managers. Centralized, manual processes and lack of accurate client information impedes sales. Now, Broadridge empowers your firm with NAVIGATOR, an end-to-end SBL platform that combines intelligent automation and data-driven oversight to fast track your SBL business.

OPTIMIZE YOUR SBL BUSINESS

NAVIGATOR automates the SBL process—from prospecting to payment—through a single platform. Rely on the only platform that puts SBL directly on the advisor dashboard, automating loan workflow and release management and providing seamless consolidation of collateral information for both in-house and held-away assets, including portfolio positions for all types of liquid assets.

Reimagined loan origination

Originate and fund SBL loans in 48 hours or less with a scalable, straight-through process directly on the advisor dashboard.

Accurate portfolio evaluations

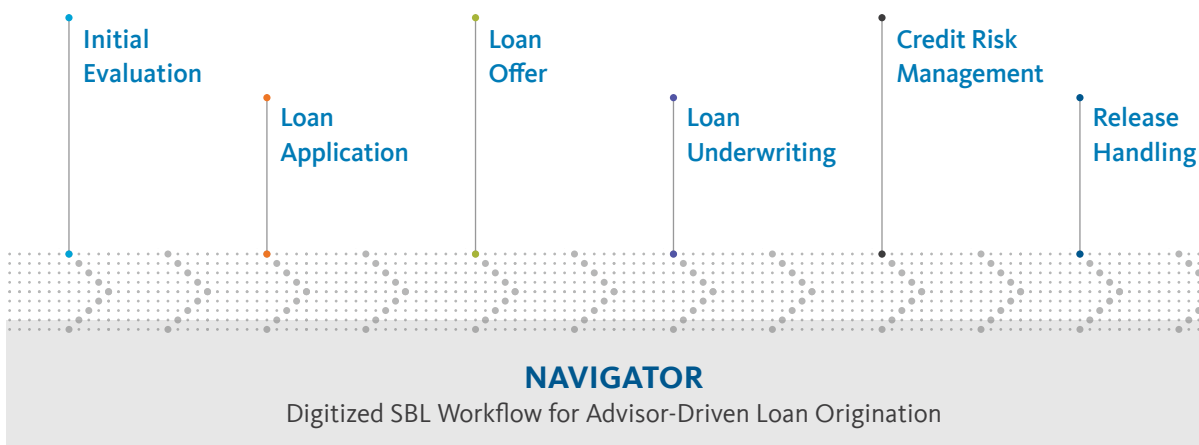
Exceed expectations by accessing end-of-day pricing for an extensive range of securities.

Client-level loan views

Accelerate decisioning and aggregate essential credit data for a digitized customer experience.

Rapid-response risk management

Use risk-triage tools to manage complex loans with confidence and efficiency.



Identify prospects based on machine-learning evaluation of portfolio, account and collateral information.



Prequalify borrowers and offer competitive loan terms, including which asset classes can be leveraged.



Facilitate origination with pre-populated loan packets and e-signing capability.



Enable advisors to stress test at the local loan level to give clients peace of mind.

Access data that drives business forward



ACCELERATE GROWTH

NAVIGATOR provides lenders with a competitive edge to offer SBL through a single, powerful dashboard that automates success from origination to release. Utilize Broadridge's unique machine learning capabilities to pre-qualify your sales pipeline.

- Deliver efficiency at every step.
- Transform the lending process through a streamlined workflow.
- Minimize risk and maximize control.

SIMPLIFY LENDING

Eliminate manual information-gathering and approval steps with auto-decisioning and exception-based underwriting. Take control with an SBL platform built for the realities of your business.

- Access on-demand credit information.
- Automate margin calls.
- Adapt quickly to market volatility.

DELIVER RESULTS

The advisor dashboard that puts complex data at your fingertips. Our intuitive platform consolidates rich client data plus collateral information for both in-house and held-away assets, with portfolio positions for all types of liquid assets.

- Provide client-level insights with greater ease.
- Improve the back office for superior front office operations.
- Eliminate friction throughout the process.

AUTOMATE FOR SMARTER SBL BUSINESS

Deploy faster with readily accessible, critical information—any time. NAVIGATOR easily integrates with your core systems. Limited effort required for setup.

- Use APIs to integrate with internal and external systems.
- Rely on enterprise-grade security and gold-standard Infosec protocols.
- Remain agile with accurate, market-driven insights and trends.

THE NEXT GENERATION END-TO-END SBL SOLUTION

Enable the SBL sales channel with automated SBL processes and data on the advisor dashboard. Seamlessly manage and accelerate every step of the process.



Present loan terms backed by pricing matrices provided by the financial institution.



Deliver enhanced CX with reduced paperwork and pre-populated applications.



Apply credit checks via integration with bank-approved systems and processes.



Facilitate straight-through processing with auto-generated loan documents and e-signatures.



Eliminate manual information-gathering and approval steps with auto-decisioning and exception-based underwriting.

DISCOVER HOW TO OPTIMIZE YOUR SBL BUSINESS

Visit us online today, or email Jeff Leone at jeff.leone@broadridge.com.

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