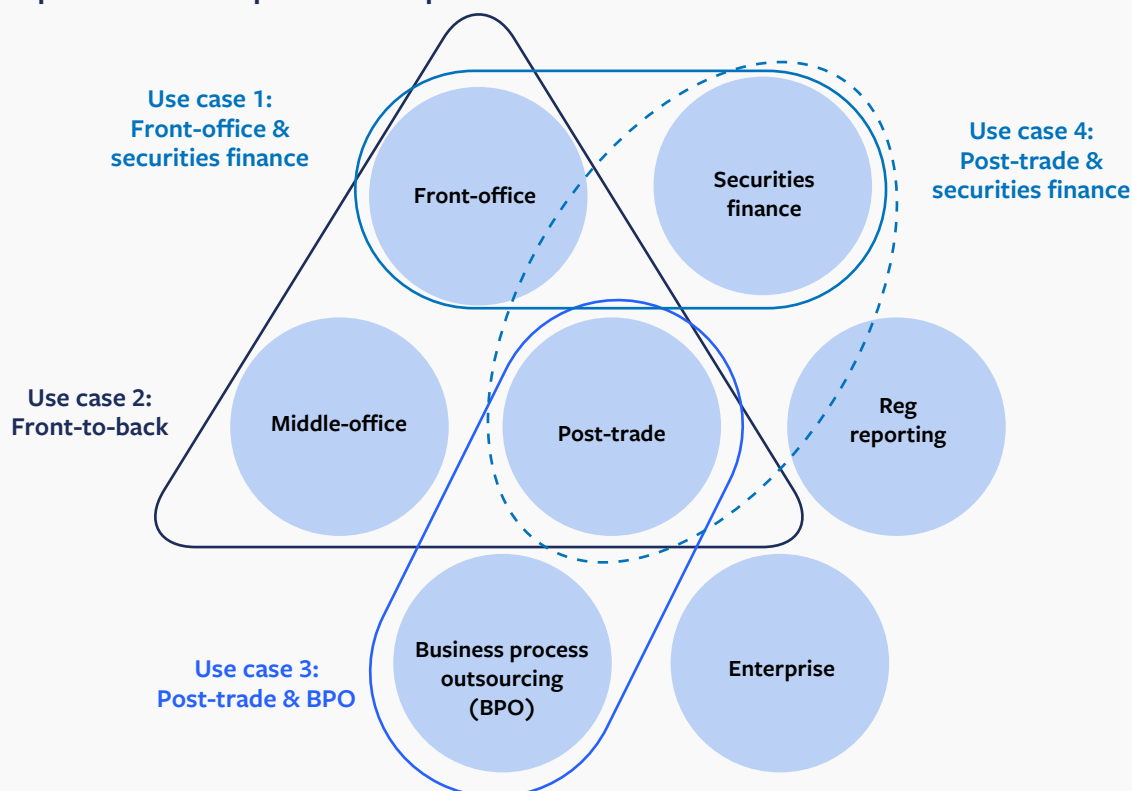


APAC capital markets solutions: Overview and use cases

Transform trading and post-trade operations with innovative, modular, multi-asset solutions that increase efficiency, reduce risk and drive revenue.

Global	Regional	Local
<ul style="list-style-type: none"> Trusted and transformative fintech leader powering the world's capital markets \$10T in equity and fixed income trades processed daily across 120 markets Proven, multi-asset platforms that ensure resiliency, regulatory compliance, and innovation 	<ul style="list-style-type: none"> Deep experience supporting Asia-Pacific's leading financial institutions Expertise enabling modernization and electronification of regional markets Scalable, cloud-ready infrastructure optimized for APAC's regulatory and operational needs 	<ul style="list-style-type: none"> 210+ associates across 4 APAC key hubs (Australia, Hong Kong, Japan and Singapore) and 5,900+ associates in India and Manila, delivering global and on-the-ground expertise Localized product features and BPO services ensuring efficiency and compliance Responsive, client-centric teams enabling fast delivery and market adaptability

Broadridge capital markets capabilities map



Single vendor, multi-capabilities approach

Use case 1: Front-office and securities finance

- **Client:** A regional broker with a fast-growing client base
- **Challenge:** Rapid expansion in their prime brokerage business which required a fast and robust electronic trading agency solution as well as fully automated locates management to fulfill short sell cover
- **Our approach:** Phased delivery to align with business priorities, implementing an end-to-end securities finance solution that automated locates management and trading workflows while maintaining compliance with local regulations
- **Benefits and impacts:**
 - Enabled new client wins through faster and more efficient end-to-end trade execution and servicing
 - Delivered a fully managed solution, freeing client resources to focus on strategic growth

Use case 2: Front-to-back solutions

- **Client:** A leading retail brokerage and crypto platform
- **Challenge:** Required a best-in-class front-to-back system to support rapid global expansion into traditional finance (TradFi) within a compressed timeframe
- **Our approach:** Designed and implemented a tailored, modular front-to-back solution leveraging Broadridge's scalable architecture—enabling seamless integration and future market expansion
- **Benefits and impacts:**
 - Streamlined operations and improved efficiency through a unified trade lifecycle
 - Future-ready platform supporting operations across 20+ countries

Use case 3: Post-trade and BPO

- **Client:** A large Central Securities Depository (CSD) expanding into new markets and asset classes
- **Challenge:** Needed a new settlement system for European markets with future plans to extend into fixed income—under tight delivery timelines
- **Our approach:** Delivered a proven, multi-asset-class back-office platform complemented by Broadridge's Business Process Outsourcing (BPO) expertise
- **Benefits and impacts:**
 - Enabled seamless entry into new markets through operational alignment across settlement systems
 - Expansion-ready infrastructure supporting future growth and diversification
 - Accelerated deployment and ensured operational excellence through BPO expertise

Use case 4: Post-trade and securities finance

- **Client:** Regional Japanese broker launching a new repo business
- **Challenge:** Legacy infrastructure lacked repo capabilities; limited budget and aggressive time-to-market goals
- **Our approach:** Extended an existing partnership by integrating a new Securities Finance and Collateral Management (SFCM) solution into the client's current deployment
- **Benefits and impacts:**
 - Achieved speed, cost efficiency, and minimal disruption by building on the existing deployment
 - Fully integrated front-to-back repo solution
 - Enabled expansion into new settlement systems and business lines

Broadridge Financial Solutions (NYSE: BR) is a global technology leader with trusted expertise and transformative technology to help the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients – driving operational resiliency, elevating business performance, and transforming investor experiences.

Our technology and operations platforms process and generate over 7 billion communications per year and underpin the daily average trading of over \$15 trillion of equities and fixed income trades. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing over 15,000 associates in 21 countries.

For more information about us, please visit [Broadridge.com](https://www.broadridge.com)

