

# Advisor Compensation Management Solutions: Top 5 Considerations

## 1. Compensation Management

### SATISFY ADVISORS WITH TIMELY AND ACCURATE PAYMENTS

- Complex commissions and incentive plans
- Fiduciary-oriented calculations and payouts
- Split and Team agreements
- Non-cash compensation
- Succession buyout plans



## 2. Account and Transaction Surveillance

### MAINTAIN GREATER TRANSPARENCY AND COMPLIANCE

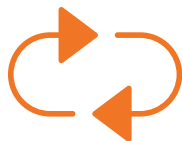
- Identify, manage and clean accounts not in good order.
- Report licensing and appointment infractions.
- Facilitate supervision with efficient and up-to-date reporting.



## 3. Seamless Integration

### DEPLOY QUICKLY AND MAXIMIZE OPERATIONAL EFFICIENCY

- Plug into existing front- and middle-office ecosystem.
- Interface with legacy solutions.
- Connect to CRM software and general ledger platforms.



## 4. Data Aggregation and Product Insight

### GAIN ACCESS TO COMPREHENSIVE DATA AND REPORTING

- Mutual fund and ETF profile and expense data
- EDGAR sourcing
- Accurate account reconciliation
- Flexible reporting
- Shorter sales cycles



## 5. Mobile Functionality

### MEET THE DEMANDS OF ON-THE-GO ADVISORS

- Deliver every tool in a secure, feature-rich environment.
- See a complete view of each client's financial profile.
- Conduct accurate, dynamic performance calculations.



## Ready to act? Broadridge can help.

Our unique, integrated data aggregation and advisor compensation management solutions equip advisors with true practice management capabilities, providing deep insight into revenue and expense, and a 360° view of each client's accounts and assets.

**Call: +1 855 252 3822**

**email: [Marketing@broadridge.com](mailto:Marketing@broadridge.com)**

**Learn more: [broadridge.com](http://broadridge.com)**

[www.broadridge.com/white-paper/pathways-to-attract-and-retain-top-advisors](http://www.broadridge.com/white-paper/pathways-to-attract-and-retain-top-advisors)