

# Investor Self-Service for LGIPs and Other Pooled Investment Funds

Governments are continually innovating ways to grow investment pool assets and better service investors. Empowering investors to manage their own accounts supports these objectives by maximizing resources and improving the customer experience.

Broadridge iPAS is a fund investor portal that enables treasurers, administrators and investors to conduct business and interact with accounts securely in real time.

iPAS is a unique offering from Broadridge, a leading global provider of technology-driven operational solutions to asset managers. It is an extended capability of our investment operations platform for the public sector, which enhances compliance, audit-readiness and operational efficiency for treasuries, pension funds and other institutional investment organizations. Our end-to-end solution integrates managed services and technology to support a wide range of critical functions like book-of-record and shadow accounting, data management and reporting, and regulatory reporting.

iPAS extends the capabilities of Broadridge's public sector investment operations platform to deliver benefits to both fund administrators and investors. It streamlines administration, reduces risk and supports compliance in the following ways:

## Cost-Effective Administration of Pooled Funds

Sponsoring Local Government Investment Pools (LGIPs) and other commingled funds according to fiduciary standards can strain resources and impact the quality and speed of information delivery and action. Providing investors with the ability to monitor and manage their own accounts according to administrative guidelines creates control, transparency and efficiency.

## Self-Service Investment Account Management

The daily management of investment pools and commingled funds requires significant attention to every account—from fielding inquiries and executing transactions to generating and mailing account statements. iPAS supports the entire range of commingled investment funds, including common funds, funds of funds, stable and variable net asset value pools, and other LGIPs. Our clients leverage the portal to let investors securely manage their own accounts, including:

- View account balances (actual and available)
- Deposit and withdraw cash
- Transfer cash between accounts
- Receive and view investor communications
- Manage user credentials
- Monitor transaction activity
- Retrieve account statements (current and historical)

Support for LGIPs and Other Pooled Funds

Monitoring and Activity for Investors

Broadridge iPAS  
Fund Investor Portal

Rules- and Roles-  
Based Access

Sophisticated  
Security Controls

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***Our online system allows holders instant access to check balances, statements and confirmations and check withdrawal requests. This secure online system cuts down on paperwork, which saves the state time and money.***

*State treasurer managing \$4.2 billion LGIP*

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#### **Compliance with Individual Policies and Standards**

iPAS is fully customizable to meet brand standards and organizational requirements. It integrates visually and technically with the client's online environment and employs rules-based configuration and sophisticated security controls to ensure investor activity complies with fund governance and policies around the following items:

- Investment balance limits (minimums and maximums)
- Transaction limits (amounts, dates and times)
- Transaction approval requirements
- Inter-account transfer approvals and limits
- Payment methods and bank account authorizations
- Administrative notices
- User provisioning, administration and permissions

#### **Targeted Investment Operations for the Public Sector**

iPAS is an optional, integrated extension of Broadridge Investment Accounting, a platform managing more than \$1.7 trillion in public funds for the country's largest and most complex treasuries and institutional investment organizations. Investment Accounting is a core component of the Broadridge Investment Operations Platform. It supports internal investment workflows, industry and regulatory requirements, and firm-wide fiduciary responsibilities within a managed technology and services model with extensive, real-time global market capabilities, including:

- Account balance views (actual and available)
- Portfolio accounting
- Investment and cash management
- Financial data management
- LGIP and commingled funds operations
- Short-term investment fund management
- Global asset class coverage
- Collateral management
- Warrants processing
- Investment general ledger accounting
- Business intelligence reporting and analytics

#### **About Broadridge Investment Accounting**

For more information about Broadridge Investment Accounting, please contact us at [inv-acct@broadridge.com](mailto:inv-acct@broadridge.com) or phone us at (856) 797-1200.

