

An end-to-end PRIIP KID and EPT solution

OVERVIEW

Providing investors with critical information is not just good service. It's a regulatory requirement. We understand the challenges of managing Key Information Documents (KIDs) and make the process easy, efficient and reliable — from composition to distribution. Let us help you meet your regulatory obligations and stay ahead of ever-changing global regulations.

SOLUTION

Our comprehensive, end-to-end PRIIP KID outsourcing solution includes proactive management, professional expertise, cost-effective processes and rigorous evidential control systems to support you from pre-production through to compliance monitoring. Our solution provides complete support for all aspects in the calculation, creation, maintenance and document distribution of PRIIPs Key Information Documents and European PRIIPs Templates (EPTs).

KEY FEATURES

- End-to-end delivery of your KID and EPT requirements, from calculation to document production and translation.
- Collection, storage and distribution of Key Regulatory Documents (KRDs) across the industry.
- Ongoing ingestion and calculation of the data sets required to calculate risk, performance and costs.
- Complete translation and localisation of your documents as required.
- Rapid distribution of large volumes of accurate documents in multiple languages.
- Fund data is stored in our centralised data platform, allowing you to efficiently broaden the regulatory solutions you hold with us, with no additional data requirements.
- All calculations, regulatory filings and disseminations are completed by us. By utilising our KID and EPT solution there is no need for additional third parties, keeping things simple.
- Full dissemination of the final products to any third party of our clients' choosing. Compliance monitoring is undertaken, and notifications sent when updates are required.
- In the all-important annual KID update, there is no last-minute panic with us. We work with you to have all changes integrated at the end of the year, allowing you the time you need to complete your final review ahead of the deadline.
- Working in partnership with Virtu our portfolio transaction cost calculations are enhanced with arrival price data making our EPT production more accurate.

BENEFIT FROM OUR EXPERTISE

As providers of a PRIIPs KID solution since the implementation of the regulation, we have extensive experience in the production and distribution of PRIIPs KIDs and EPTs.

We actively engage in industry working groups and forums to ensure that we are always up to date and prepared to react to regulatory changes quickly and accurately, supporting you in meeting the latest regulatory guidelines.

Reduce risk with our data validation process which allows both manual and automated inspections of any data changes.

Benefit from increased operational and cost efficiencies across your business with Broadridge FCS as your single digital platform, supporting all of your data, documents and regulatory reporting needs across the life cycle of funds. By outsourcing with us you can release internal resource and focus on growing your business.

WHY CHOOSE BROADRIDGE?

- With over 20 years of fund data, document dissemination and related regulatory reporting experience, we have extensive expertise in supporting clients with these challenges.
- We are a trusted partner and leading distributor of fund data, currently working with over 275 international fund managers¹.
- We offer a resilient and secure solution. Our data centre and technology meet the highest industry standards.
- We commit to over 150,000 work hours annually to support the research and development of our client offerings¹.
- You will have complete access to our accountable and responsive Client Service teams, based in London, Dublin and Bristol.
- Our solutions are delivered by an experienced implementation team, ensuring timely delivery whilst retaining the highest standards of quality.

¹ All figures correct as at 31.07.2021.

Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

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Broadridge simplifies the complex with The ABCDs of Innovation®. It's how we help our clients understand and apply next-gen technologies — including AI, blockchain, the Cloud and digital — to transform their businesses and get ready for what's next. [Find out more.](#)

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