

Bridging financial intermediaries and advisors to gather and grow assets

Fi360'S LEADING-EDGE FIDUCIARY TECHNOLOGY AND EDUCATION SOLUTIONS HELP DRIVE OPERATIONAL EFFICIENCY AND GROW YOUR BUSINESS.

Broker-dealers, RIAs and recordkeepers partner with Broadridge Fi360 Solutions to offer fiduciary software and education solutions for their advisors, create and monitor approved investments and implement technology-based oversight. With a firm grounding in fiduciary principles, Fi360 simplifies the implementation of a prudent, repeatable process across your business by providing best-in-class fiduciary education, supporting thoughtful portfolio construction and delivering investment analysis at both the institutional and advisor levels.

INDEPENDENT AND TRANSPARENT, Fi360 IS FIERCELY DEDICATED TO YOUR SUCCESS.

Strategy

Ensure compliance with changing fiduciary requirements to deliver the standard of care your clients expect.

Education

Both visionary and practical, Fi360's AIF® designation enables advisors to signal to their clients and prospects that they will always put their best interests first.

Prudent Practices

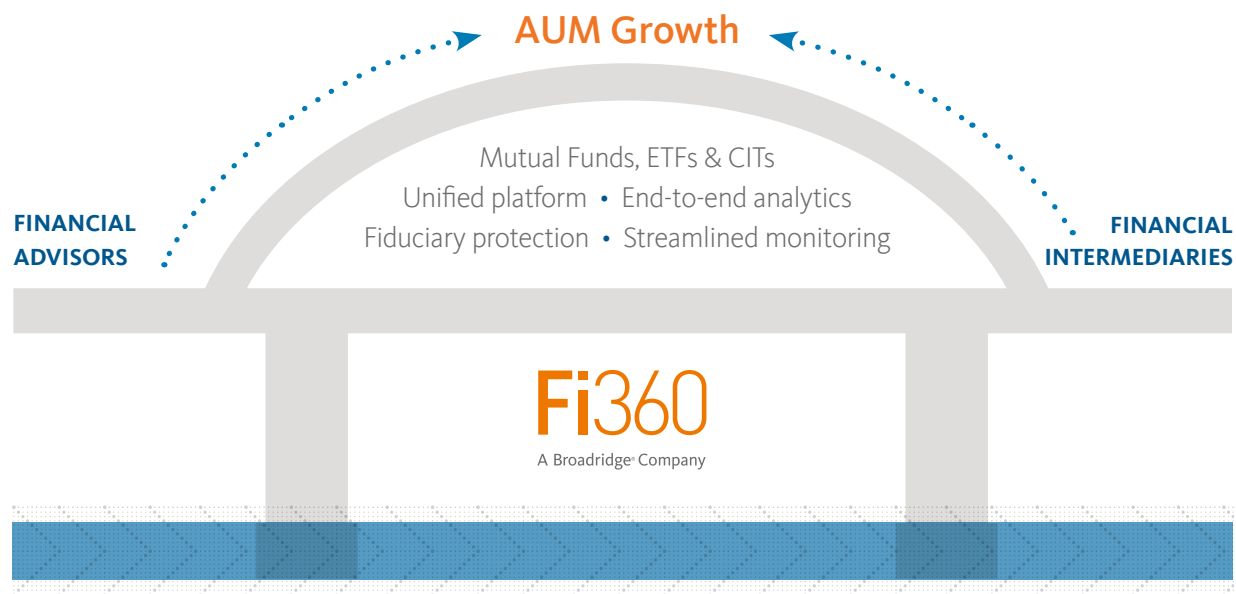
Combining Fi360's Prudent Practices®, flexible advisor controls and elegant reporting, the Fiduciary Focus Toolkit™ has prudent fiduciary processes built right in, so that advisors can spend more time focused on servicing their clients and building their businesses.

Ease of Use

Our training, technology and analytics make implementing a prudent process for all clients easier at every step.

Step up to Broadridge Fi360 Solutions

Gather and grow your assets with an unrivaled asset management and investment solution.



Efficiency at every level. Opportunity with every client.



Gain insight and build trust in every interaction with Broadridge Fi360 Solutions. Fi360 provides renowned fiduciary education, training and technology solutions that support investment analysis and portfolio design at both the institutional and advisor levels. Enhance your fiduciary standing with the Accredited Investment Fiduciary® (AIF®) designation, recognized in 2020 by *U.S. News & World Report* as one of the top four financial certifications for financial advisors¹. Core areas of expertise:

TRAINING: LEARNING AND DEVELOPMENT

Fi360 Training empowers investment professionals with the fiduciary knowledge and tools they need to serve their clients' best interests while successfully growing their business. Our training programs signal to your clients and prospects the added value you bring to the relationship in a way that your competition can't.

- Accredited Investment Fiduciary® (AIF®) Designation
- Accredited Investment Fiduciary Analyst® (AIFA®) Designation
- CEFEX Firm Level Certification
- Fiduciary Essentials® for Defined Contribution Plans
- Fiduciary Essentials® for Advisors
- Advisor Practice Management Training
- Professional Plan Consultant (PPC®) Designation
- Regulation Best Interest (Reg BI) Essentials Training

TOOLS: SOFTWARE AND TECHNOLOGY


Fi360's suite of leading-edge tools and solutions elevates your financial services practice by providing portfolio allocation insights and design, in-depth investment analytics for RFPs, multi-portfolio integration, and much more. It also helps you optimize your fiduciary and business practices to deliver the best service possible to your clients.

- Fiduciary Focus Toolkit®
- FirmPlus
- Stable Value Vision
- RFP Director
- Fi360 Fee Benchmark®
- Toolkits for Service Providers
- Integrations

DATA AND ANALYTICS

Fi360 Data and Analytics solutions are ready-made solutions for due diligence that can help you demonstrate a prudent investment selection and monitoring process. The Fi360 Fiduciary Score® is available as a feature in the Fi360 Toolkit™ and technology solutions.

- Fi360 Fiduciary Score®
- Business Intelligence
- Data License Services

 **CEFEX Certified—Fi360 is publicly recognized as conforming to established standards of client care and fosters continual improvement.**



A 'Best Financial Certification' for financial advisors from *U.S. News & World Report*

Fiduciary Solutions

Let Fi360 drive operational efficiency to grow your business.



¹<https://money.usnews.com/financial-advisors/articles/best-financial-certifications>

GROW YOUR BUSINESS FASTER WITH Fi360

Contact your Account Representative, email us at Fi360Enterprise@broadridge.com or visit us online at fi360.com.

broadridge.com



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Ready for Next

Communications
Technology
Data and Analytics